



**PEMSEA Meeting Report 9**

**PROCEEDINGS OF  
THE THIRD EAST ASIAN SEAS  
PARTNERSHIP COUNCIL MEETING**

**Dandong, Liaoning Province  
People's Republic of China  
26–29 July 2010**



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# **PROCEEDINGS OF THE THIRD EAST ASIAN SEAS PARTNERSHIP COUNCIL MEETING**

**Dandong, Liaoning Province, PR China, 26-29 July 2010**

## **A. INTRODUCTION**

- i. The Third East Asian Seas Partnership Council Meeting was held at the Crowne Plaza Hotel, Dandong, Liaoning Province, PR China, from 26-29 July 2010. The State Oceanic Administration (SOA) hosted the Meeting.
- ii. The Meeting was attended by representatives from ten PEMSEA Partner Countries, namely: Cambodia; DPR Korea; Indonesia; Japan; Lao PDR; PR China; Philippines; RO Korea; Timor-Leste; and Vietnam. A representative from Thailand was present as an observer. Ten representatives from Non-Country Partners were in attendance, namely: Coastal Management Center (CMC); International EMECS Center; IOC/WESTPAC; International Ocean Institute (IOI); Korea Maritime Institute (KMI); Northwest Pacific Action Plan (NOWPAP); Ocean Policy Research Foundation (OPRF); Plymouth Marine Laboratory (PML); PEMSEA Network of Local Governments (PNLG) and UNDP/GEF Yellow Sea LME Project
- iii. Representatives from the United Nations Development Programme (UNDP) Manila and the UNDP Regional Centre in Bangkok were present on behalf of the Implementing Agency and the Global Environment Facility (GEF). In addition, a representative from the United Nations Office for Project Services (UNOPS), the Executing Agency for the GEF/UNDP Project on Implementation of the Sustainable Development Strategy for the Seas of East Asia was present, as was a consultant for the GEF IW Stocktaking Project. The PEMSEA Resource Facility (PRF) served as the Secretariat for the meeting.
- iv. A full list of participants is attached as Annex 1.

## **B. OPENING CEREMONY**

- i. On behalf of the People's Republic of China and the State Oceanic Administration (SOA), Dr. Zhang Zhanhai, Director General, Department of International Cooperation, expressed his warm welcome to all the participants. Dr. Zhang congratulated PEMSEA for the successful conduct of the East Asian Seas (EAS) Congress 2009 and the Third Ministerial Forum, which culminated with the signing of the Agreement Recognizing the International Legal Personality of PEMSEA as well as the Manila Declaration on Strengthening the Implementation of Integrated Coastal Management for Sustainable Development and Climate Change Adaptation in the Seas of East Asia Region. He noted that the recommendations of the EAS Congress 2009 serve as key policy documents that will guide the implementation of the SDS-SEA. Dr. Zhang emphasized that more challenges lie ahead, particularly in transforming PEMSEA into a full fledged international organization.

- ii. Dr. Zhang expressed SOA's and the coastal provincial governments' appreciation to the role of PEMSEA in promoting the implementation of the SDS-SEA, and committed to work with PEMSEA to improve knowledge and capacity in ICM, environmental protection, climate change adaptation, marine disaster prevention and mitigation, and sustainable use of marine ecological resources in China. He highlighted the newly adopted "Sea Island Protection Law" that provides for the protection of islands and surrounding ecosystems, rational development of natural resources of islands and promotion of a sustainable social economy. The law provides a solid foundation for China in implementing the SDS-SEA and will further promote and strengthen ICM activities in the country.
- iii. In closing, Dr. Zhang thanked the Marine Fishery Bureau of Liaoning Province and Dandong Municipal Government for their support in preparing for the 3<sup>rd</sup> EAS Partnership Council Meeting, and wished the meeting full success.
- iv. On behalf of the Department of Marine Affairs and Fisheries of Liaoning Province, Mr. Zhao Xingwu, Director General, welcomed the participants to the Province of Liaoning. Mr. Xingwu thanked the SOA, the Department of International Cooperation of SOA, the GEF, UNDP and UNOPS for their support in the Panjin Integrated Coastal Management scaling up site, and the Dalinghe River project, which was chosen as a Bohai Sea pollution reduction demonstration site. The Province is also proud of its accomplishments related to marine development, including: upgrading of the Development Plan for the Marine Economic Zone of Liaoning Province into the Chinese National Development Strategy on July 1, 2009; the Liaoning Regulation on Marine Environment Protection; and the Liaoning Plan on Marine Environment Protection. By the end of 2009, the GDP from the marine sector of the province accounted for 17.1% and reached RMB 25,694 billion. The Liaoning Provincial Government also provided the Yellow Sea Large Marine Ecosystem Program-Dandong Wetland Protection Demonstration project with counterpart funding and manpower support. Mr. Zhao further ensured that they will make every effort to organize, coordinate and implement projects that will be implemented in Dandong and Liaoning Province. Mr. Zhao invited the participants to take time to visit and enjoy Liaoning Province.
- v. On behalf of the Dandong Municipal Government, Mr. Niu Xiangdong, Vice Mayor, expressed his warm welcome to all the participants. Dandong is located in the Northern part of the Yellow Sea, on the banks of the Yalu River, which marks the border between the People's Republic of China and the Democratic People's Republic of Korea. The Vice Mayor informed the Meeting that Dandong's railway, expressway, airport and port have formed a perfect traffic network and provided Dandong with well-developed infrastructures and a solid foundation for economic development. The upgrading of the Development Plan for the Marine Economic Zone of Liaoning Province into the Chinese National Development Strategy supported the rapid development of the ocean-related economy of Dandong and improved integrated ocean management in the province. Mr. Niu expressed the municipality's commitment to promote sustainable utilization and integrated management of ocean and coastal resources. As host municipality to the 3<sup>rd</sup> EAS Partnership Council Meeting, the

Vice Mayor encouraged all the participants to experience the hospitality of the Dandong people and to enjoy its beautiful landscape.

- vi. On behalf of the EAS Partnership Council and the Executive Committee, Dr. Chua Thia-Eng, Council Chair, EAS Partnership Council, opened the Meeting by welcoming all the participants. Dr. Chua expressed appreciation to the SOA of China for hosting the meeting and to Dr. Zhang Zhanhai for his support in ensuring its successful organization. As this is the first EAS Partnership Council Meeting since the adoption of PEMSEA's international legal personality in November 2009, it is seen as critical, and it will touch on the key items related to PEMSEA's role beyond the implementation of a GEF project.
- vii. Dr. Chua highlighted the key business items of the meeting, including mapping the course of actions that will strengthen national management capacity, build political and financial commitments and forge regional cooperation towards achieving the goals of the SDS-SEA. Dr. Chua emphasized that the SDS-SEA provides a regional political umbrella and an integrated governance framework that enable national governments to fulfill their international commitments and address cross boundary issues in the region.
- viii. Dr. Chua underscored the importance of PEMSEA Partners in steering PEMSEA's policy and future activities and called on all Partners to provide their advice and support in the transformation of PEMSEA. In particular, Partners' support is critical to the transformation of PEMSEA from a project-based program into an efficient regional mechanism with less bureaucracy, the re-engineering of the PEMSEA Resource Facility, redefining the roles of the Partnership Council and Executive Committee and sustainable financing. He further emphasized the need to focus on specific core activities such as ICM scaling up, integrated river basin and coastal area management, the ICM Code of Good Practice, State of the Coasts Reporting and Public-Private Partnerships, among others. Dr. Chua expressed PEMSEA's support and cooperation to the GEF stocktaking initiative to analyze the status and achievements of projects and programs in the region.
- ix. On behalf of the PEMSEA Resource Facility, Prof. Raphael P.M. Lotilla, Executive Director, welcomed all the participants to the meeting and thanked the SOA for the excellent arrangements. Prof. Lotilla congratulated the Government of China for taking leadership in coastal and ocean management and for working with the local governments, including Liaoning Province and Dandong Municipality, in order to achieve their sustainable development objectives. The Executive Director also commended Liaoning Province and Dandong Municipality for implementing projects with their own resources, and assured them of PEMSEA's continued support.
- x. Prof. Lotilla underscored that country ownership is a major principle that PEMSEA is committed to. He emphasized that it is important for the region to manage change and to seize the opportunities that it offers. Prof. Lotilla expressed PEMSEA's full commitment to cooperate and work with Partners to realize the common vision of the SDS-SEA.
- xi. The full text of the Opening Ceremony speeches may be found in Annex 2.

## **COUNCIL SESSION**

### **1.0 ORGANIZATIONAL MATTERS**

- 1.1 Dr. Chua Thia-Eng chaired the Council Session. The Head of Delegation of China was invited to serve as Co-Chair.
- 1.2 The Secretariat introduced the principal reference documents for the Meeting (Annex 3).

### **2.0 ADOPTION OF AGENDA**

- 2.1 The Meeting proposed several changes to the provisional agenda, particularly on items related to the transformation of PEMSEA, and adopted the revised Agenda as contained in Annex 4.

### **3.0 IMPLEMENTATION STATUS OF MAJOR RECOMMENDATIONS AND DECISIONS OF THE PREVIOUS COUNCIL MEETINGS, EAS CONGRESS 2009 AND THIRD MINISTERIAL FORUM (PC/10/DOC/05)**

#### Discussion Highlights:

- 3.1 Pending actions on decisions and recommendations of previous EAS Partnership Council and Executive Committee Meetings were noted for discussion under agenda items of the meeting (indicated in brackets):
  - a. Approval of Headquarters Agreement (Agenda Item 4: Council Session)
  - b. Election of Chairs (Agenda Item 5.1: Council Session)
  - c. Guidelines on eligibility of Non-Country Partners (Agenda item 5.2: Council Session)
  - d. PEMSEA's transformation (Agenda Item 6: Council Session)
  - e. Critical and emerging issues in the region (Agenda Item 9: Technical Session)
  - f. Technical Cooperation and Collaboration (Agenda Item 10: Technical Session)
  - g. SDS-SEA Implementation Plan (Agenda Item 11: Technical Session)
  - h. EAS Congress 2009 and Manila Declaration (Agenda Item 11: Technical Session)
  - i. Establishment of PEMSEA Partnership Fund (Agenda Item 12: Technical Session)
  - j. Status of implementation and budget of GEF/UNDP/UNOPS Project on SDS-SEA Implementation (Agenda Item 19: Intergovernmental Session)



Conclusions:

- 3.2 With the exception of the above items, the PRF, with the support of Partners and the Executive Committee, has addressed and satisfactorily completed the decisions of the previous Council and EC Meetings.
- 3.3 Activities concerning pending decisions and recommendations will be discussed under the relevant agenda items.

#### **4.0 REPORT OF THE COUNCIL CHAIR (PC/10/DOC/08)**

Discussion Highlight:

- 4.1 Country and Non-Country Partners expressed their sincere appreciation to the Executive Committee members for their commitment and guidance to PEMSEA over the past three years.

Conclusions:

- 4.2 The Executive Committee has played a significant role in moving PEMSEA toward its transformation to a self-sustaining regional organization.
- 4.3 The work undertaken by the Executive Committee, as a group and in their individual capacities, has provided good direction to the PRF and the accomplishments of PEMSEA.

Recommendation:

- 4.4 The Council Session recommended that the report of the Council Chair be adopted as submitted.

#### **5.0 REPORT OF THE EXECUTIVE DIRECTOR (PC/10/DOC/09)**

Discussion Highlights:

- 5.1 The Report of the Executive Director highlights the scale and extent of various collaborative, synergistic and responsible actions and accomplishments of the Partners that contributed to SDS-SEA objectives from 2008-2010.
- 5.2 Interactions among Country and Non-Country Partners under the PEMSEA framework have opened up new opportunities for collaboration.
- 5.3 The transformation of PEMSEA will continue to require extensive consultation with the Executive Committee, and joint planning among Partners.
- 5.4 PEMSEA progress and achievements need to be further promoted in order to build the PEMSEA brand regionally and internationally.

- 5.5 The representatives from China, Japan, Indonesia, KMI, and YSLME indicated several initiatives that were not included in the Accomplishment Report.
- 5.6 The Yeosu Project (RO Korea) is funding two projects in PEMSEA ICM sites, i.e., one in the Philippines and one in Indonesia. Such support shows how partners are collaborating in achieving the objectives of the SDS-SEA.
- 5.7 The development of the ICM Code is a major output of PEMSEA. It will provide a standard across the region for assessing and measuring core elements in ICM Programme implementation.

Conclusion:

- 5.8 The Accomplishment Report is a useful collation of PEMSEA Partner activities/achievements between July 2008 and June 2010.

Recommendations:

The Council Session recommended that:

- 5.9 Partners review the Report and provide the Secretariat with any corrections and updated information prior to end of August 2010.
- 5.10 The PEMSEA Accomplishment Report be published as a PEMSEA annual report.
- 5.11 The signing of the HQ Agreement with the Government of the Philippines be considered a priority issue of PEMSEA, with effort by the PRF to facilitate the signing by the end of the year.

**6.0 A TRANSFORMED PEMSEA: VISION, MISSION AND OPERATING MODALITY AND PEMSEA PARTNERS AND SPONSORING ORGANIZATIONS VIEWS ON ACHIEVING AND SUSTAINING A TRANSFORMED PEMSEA (PC/10/DOC/10)**

Discussion Highlights:

- 6.1 The key challenge for PEMSEA in the next 12 months will be the preparation of a concrete plan for the transformation of PEMSEA, including financial and organizational sustainability.
- 6.2 The PEMSEA Regional Mechanism is an innovative political framework for integrated coastal and ocean management, and Partners should collectively capitalize on the framework when approaching donors/sponsors.
- 6.3 The SDS-SEA provides an umbrella strategy for sustainable coastal and ocean development and a platform for cooperation among countries and other stakeholders at the national, sub-regional, and regional levels.

- 6.4 The representative from YSLME made an intervention, emphasizing that by working together and by considering a country-centered approach, Partners will be able to more effectively address the priority issues of the countries, while contributing to the GEF efforts in the region. The YSLME statement is attached in Annex 5.
- 6.5 Governments need to mainstream SDS-SEA programs into their national policies, legislation and economic development programs, and not depend on donor support as a sustainable financing mechanism for such programs.
- 6.6 PEMSEA serves a catalytic role in increasing investments in coastal and ocean management at national and local levels. This is a “good practice” that should be documented and promoted.

Conclusions:

- 6.7 PEMSEA needs to delineate how its unique political framework and regional umbrella strategy are being applied successfully to address sustainable development issues in the region, for presentation by countries at GEF Council as well as other national and international forums.
- 6.8 Sustainability of SDS-SEA implementation depends on the existence of functional national coordination mechanisms. It is imperative that activities of PEMSEA be entrenched in national programs.

Recommendations:

The Council Session recommended that:

- 6.9 The PRF proceed with the transformation of PEMSEA, in accordance with the vision, mission, principles and strategic direction, actions and work schedule identified in Meeting Document PC/10/DOC/10a, with the full participation of the Executive Committee and Country and Non-Country Partners.
- 6.10 The PRF develop a “good practices” paper concerning PEMSEA’s political framework and regional SDS-SEA strategy.
- 6.11 In accordance with the Meeting Document, PC/10/DOC/10, TWGs be organized for purposes of developing the following Plans which are an integral part of PEMSEA’s transformation, namely :
  - TWG for the 5-year SDS-SEA implementation plan (see Agenda Items 9 and 10, Technical Session);
  - TWG for PEMSEA’s financial sustainability plan (see Agenda Item 12, Technical Session);
  - TWG for PEMSEA’s advocacy and marketing plan (see Agenda Item 12, Technical Session); and
  - TWG for re-engineering of the PRF (see Agenda Item 18, Intergovernmental Session).

## **7.0 ISSUES RELATING TO PEMSEA'S TRANSFORMATION**

### ***Proposal for postponement of Executive Committee Elections and Expansion of EC members (PC/10/DOC/06)***

#### Discussion Highlights:

- 7.1 Major issues and challenges to PEMSEA's transformation and sustainability remain to be addressed. The current Executive Committee has been leading the transformation process and it would be best for the current Executive Committee to oversee major pending activities related to the transformation process.
- 7.2 To ensure continuity of leadership by the Executive Committee, election of three counterparts for the Council Chair and two Session Chairs may be considered, bringing the EC membership to 7, and adopting a turnover mechanism whereby only 3 members will be elected during each Council election;
- 7.3 Guidelines on the nomination of counterparts and facilitating the process of selection need to be developed and approved by the Partners.

#### Conclusions:

- 7.4 There was no objection by the Partners to the postponement of the Executive Committee elections;
- 7.5 Partners need further consultation on the expansion of the EC membership, including the potential implications to the Haikou Partnership Agreement and the Agreement Recognizing PEMSEA's Legal Personality.

#### Recommendations:

##### The Council Session recommended that:

- 7.6 The PRF flesh out the proposal to ensure continuity in PEMSEA's transformation process through the election of counterparts for the Chair and the two Session Chairs broadening involvement in the EC to seven, and by adopting a turnover mechanism, whereby three members will be elected at each EC election while the other three will remain until the next immediately succeeding EC election;
- 7.7 The PRF conduct full consultation with the Partners on the foregoing mechanism by October 2010;
- 7.8 EC elections be postponed until July 2011; and
- 7.9 The incumbent members of the EC be requested to continue to exercise the responsibilities and functions of the EC.

### ***Guidelines on Eligibility of Non-Country Partners (PC/10/DOC/07)***

#### Discussion Highlights:

- 7.8 Non-Country Partners with a regional scope or organizational set up have the capacity to effectively contribute to the Partnership and the regional coverage of the SDS-SEA implementation.
- 7.9 The proposed Guidelines on Eligibility of Non-Country Partners are designed to provide guidance to the PRF in negotiations with interested entities that wish to become PEMSEA Partners.
- 7.10 Business entities are encouraged by PEMSEA to participate in local or national-level activities. If they wish to participate at the regional level, individual companies can be encouraged to form themselves into foundations or leagues in order to achieve a regional stature, for consideration as a Non-Country Partner.
- 7.11 It is important for prospective Non-Country Partners to have a proven track record and working with at least one Country Partner of PEMSEA.

#### Conclusions:

- 7.12 The proposed Guidelines for Eligibility of Non-Country Partners should be considered in the context of maintaining a manageable number of functional PEMSEA Non-Country Partners.
- 7.13 The proposed guidelines should be applied in a flexible manner, in order to ensure that organizations that will add value to SDS-SEA implementation are eligible for selection as PEMSEA Non-Country Partners.
- 7.14 The guidelines should not be used to discourage Non-Country Partners, but to provide the PRF with guidance on evaluating and recommending new partners to the organization.

#### Recommendations:

##### The Council Session recommended:

- 7.15 The adoption of the Guidelines on Eligibility of Non-Country Partners (Items c-e), in addition to the criteria on inclusion of Partners under the Partnership Operating Arrangements:

Other stakeholders who are not yet Partners but are interested in participating in the implementation of the SDS-SEA may request for inclusion as a Partner of PEMSEA by sending a written notification to the Executive Director of the PEMSEA Resource Facility of:

- a. its adherence to the Partnership Agreement and the Partnership Operating Arrangements, and the policies and decisions of the Council;

- b. agreeing to enter into a partnership arrangement with PEMSEA through a Memorandum of Agreement or similar instrument on the implementation of the SDS-SEA;
- c. its confirmation of registration as “not for profit” entity in one of the countries in the EAS region, with the understanding that the registration requirement does not apply to international organizations or their programs or projects which do not register in any particular country;
- d. its regional coverage, objectives and capacities; and
- e. its experience in at least one collaborative activity with a PEMSEA Partner Country in support of SDS-SEA implementation.

## **TECHNICAL SESSION**

- i. Mr. Hiroshi Terashima chaired the Technical Session. The head of delegation of China served as Co-Chair.

### **8.0 PROGRESS AND ACHIEVEMENTS IN SDS-SEA IMPLEMENTATION (PC/10/DOC/11)**

#### Discussion Highlights:

- 8.1 SDS-SEA review is the start of the process for the development of a 5-year SDS-SEA implementation plan.
- 8.2 The collaborative planning process being employed will engage all PEMSEA Partners.
- 8.3 Ongoing SAP initiatives in Sulu-Sulawesi Sea, the results of the GIWA, and the contributions of Non-Country Partners to SDS-SEA implementation need to be considered in the review.
- 8.4 The report needs to pay attention to national priorities (e.g., oil spill pollution; climate change adaptation).
- 8.5 A number of Country Partners made interventions with regard to their programs or activities and recent achievements or progress related to the implementation of the SDS-SEA. Detailed Country Statements are found in Annex 5.

#### Conclusions:

- 8.5 The SDS-SEA review is a useful process and starting point for planning.
- 8.6 The SDS-SEA review has the potential to provide valuable input to ongoing national planning processes.
- 8.7 The review should not be limited to the technical aspects only, but also the political issues being addressed, in order to provide a complete picture of the progress.

#### Recommendations:

#### The Technical Session recommended that:

- 8.8 The PRF in collaboration with Country and Non-Country Partners finalize the SDS-SEA review and prepare country and regional SDS summary reports for dissemination to Partners and other interested stakeholders.

- 8.9 The PRF take into consideration the following in preparing the regional report:
- a. Sulu-Sulawesi SAP and GIWA assessment;
  - b. mutual benefit assessments for partners;
  - c. assessment of the political and legal issues and how partners can use the political framework generated by PEMSEA to do environmental work;
  - d. national priorities including enforcement of laws and regulations;
  - e. assessment of achievements, impacts and benefits of PEMSEA to countries; and
  - f. bilateral agreements with respect to actions relating to the Seas of East Asia and not just relating to PEMSEA.

## **9.0 PEMSEA PARTNERS AND SPONSORING ORGANIZATIONS: PERSPECTIVES ON THE SDS-SEA IMPLEMENTATION, EMERGING TRENDS AND PRIORITY ISSUES**

### Discussion Highlights:

- 9.1 The Manila Declaration confirms climate change adaptation as a priority issue in the region, and directs PEMSEA to address this priority within the context of sustainable coastal development utilizing ICM.
- 9.2 Other national priorities cited include: oil spill response; pollution reduction; biodiversity conservation; fisheries management; integrated water resources management; and coastal area management.
- 9.3 ICM scaling up is a priority in all countries of the region.
- 9.4 Scaling up includes geographical expansion as well as extending ICM functional coverage to include issues such as integrated river basin and coastal area management.
- 9.5 New and emerging issues in the region (and globally) include spatial planning for coastal and sea area development, ocean acidification, and ocean-based renewable energy, among others.

### Conclusions:

- 9.6 The SDS-SEA implementation plan will be developed as a planning document for use by countries of the region.
- 9.7 The language used in the document should be clear and easily understood by countries of the region, with measurable targets and indicators.
- 9.8 The Plan must be realistic, covering key issues, concrete objectives and targets for the next five years, but within the context of longer term objectives and priorities of the SDS-SEA within/among countries (10-20 years).



9.9 Issues to be considered/highlighted in the Implementation Plan:

- a. legal, technical, financial aspects of ICM scaling up programs at the national and local levels;
  - b. Five-year milestone targets/indicators of progress;
  - c. consideration of SAPs/Plans of Actions of other regional projects and programs within the framework of SDS-SEA;
  - d. PEMSEA's role in supporting ICM scaling up at the national, local and sub-regional /LME levels;
  - e. mainstreaming SDS-SEA into national development agenda in order to ensure support for on-the-ground action;
  - f. proactive and far-sighted coverage of new and emerging regional issues;
  - g. sustainability of the PEMSEA operating modality as an innovative regional partnership mechanism;
  - h. concrete projects with measurable outputs and benefits;
  - i. translation of SDS-SEA objectives to local application/action;
  - j. definition of the PEMSEA Partners' roles and expected contributions/investments;
  - k. capacity building, particularly in support of ICM implementation by local governments to solve existing and emerging constraints to sustainable development; and
  - l. M&E programming to measure outputs/outcomes in a quantitative manner, particularly investments, social benefits, and a comparison of conditions pre- and post- SDS-SEA interventions.
- 9.10 The implementation plan can be used as a basis for developing project proposals to donors and international organizations, including GEF, using the criteria and language of the respective donors.
- 9.11 In addition to technical issues, PEMSEA needs to consider development and strengthening its administrative and fiduciary capacities/responsibilities in order to build confidence and trust among potential investors.
- 9.12 An M&E framework that is results-based with clear benchmarks and indicators needs to be put in place by PEMSEA as part of the Implementation Plan.

Recommendations:

The Technical Session recommended that:

- 9.13 The PRF proceed with the preparation of the SDS-SEA implementation plan for use by countries and non-Country Partners in the region in collaboration with Partners and Sponsoring Organizations.
- 9.14 The 5-year plan include national priorities and objectives, targets and actions. It will also identify where PEMSEA assistance is required, particularly with regard to capacity building, technical assistance, products and services.

## 10.0 INTERACTIVE COLLABORATIVE PLANNING AMONG PARTNERS FOR SDS-SEA IMPLEMENTATION (PC/10/DOC/12)

### Discussion Highlights:

- 10.1 The collaborative planning process includes Country and Non-Country Partners, as well as other regional and sub-regional programs and initiatives related to coastal and ocean management.
- 10.2 The major output of the collaborative planning is the SDS-SEA Implementation Plan, which provides long-term objectives and a framework for concrete actions.

### Conclusions:

- 10.3 The Implementation Plan must be tuned to the requirements of countries, and helping them to access multi-donor funding for SDS-SEA implementation.
- 10.4 From PEMSEA's perspective, the plan should scope out PEMSEA's objectives, roles, responsibilities and activities as an international organization in support of SDS-SEA implementation in countries, and among other regional projects/organizations.
- 10.5 The implementation plan is a living document that will undergo annual collaborative review, assessment of progress, and continual improvement by the Partners.

### Recommendations:

The Technical Session recommended that:

- 10.6 The collaborative planning process be employed in the development of the SDS-SEA implementation plan.
- 10.7 A Technical Working Group (TWG) with representation from all Partners and Sponsoring Organizations be organized for the development of a 5-year SDS-SEA implementation plan. The Council Chair will serve as Chair for the Working Group and the PRF will serve as Secretariat.
- 10.8 The preparation of the SDS-SEA implementation plan be completed in accordance with the following schedule:

Activity/Output	Time Schedule
PRF prepares initial Country Report and regional consolidated report and circulates to NFPs along with proposed framework for SDS-SEA implementation plan	August 2010
National interagency consultations on SDS-SEA implementation plan 2011-2015	September-October 2010

Activity/Output	Time Schedule
PRF consolidates framework of SDS-SEA implementation plan, scoping out major issues and objectives, options and analyses from country consultations	September-October 2010
Regional workshop on proposed framework	October 2010 at Expanded EC meeting
PRF drafts SDS-SEA Implementation Plan	November- December 2010
Dissemination/review of draft plan by Partners	January-March 2011
Plan refinement and submission to Council	July 2011

- 10.9 The implementation plan be developed with due consideration to the conclusions and recommendations of the Technical Session under Agenda Items 9 and 10.
- 10.10 The PRF will be responsible for coordination and facilitation of the collaborative planning process among Partners and other regional/international parties.
- 10.11 A library of TWG contacts will be prepared by the PRF and provided to all Partners.

## **11.0 CONCEPT PAPER FOR A GEF/UNDP REGIONAL PROJECT ON SCALING UP THE IMPLEMENTATION OF THE SDS-SEA (2012-2015)**

### Discussion Highlights:

- 11.1 The existing GEF project must undergo mid-term evaluation this year. The scaling up phase of SDS-SEA implementation should build upon the results of the current phase.
- 11.2 Countries should be allocating increased resources to SDS-SEA scaling up initiatives, with ICM being mainstreamed into national and local development policies and programs.
- 11.3 The current phase must be completed in 2012, as scheduled, in order to facilitate access to GEF-5 resources for the follow-on phase.
- 11.4 Other financing windows should be explored, including GEF's increased country allocations for biodiversity and land degradation portfolios.
- 11.5 LDCF application/projects should be aligned with the NAPA's identified priorities in eligible countries (i.e., Cambodia, Lao PDR and Timor-Leste).
- 11.6 SCCF replenishment will be determined soon, along with application criteria.

Conclusions:

- 11.7 The concept paper has too many components, which will tend to complicate project implementation at the country level and cause confusion in monitoring, measuring and assessing outputs and outcomes.
- 11.8 The project proposal should be developed within the context of the ongoing GEF IW Stocktaking, and the contribution that the project will make to the GEF/IW program of activities/objectives in the East Asia region.

Recommendations:

The Technical Session recommended that:

- 11.9 PRF revise the concept paper, reducing the number of components to 3 or 4, including:
  - a. scaling up national ICM programs geographically and functionally, to include issues such as IWRM/ICM and climate change adaptation;
  - b. national ocean and coastal policy development and implementation; and
  - c. capacity development at the national and sub-regional/LME level, in support of ICM scaling up programs.
- 11.10 PRF include justification for GEF support and how the next phase of PEMSEA relates to the GEF objectives and criteria in the concept paper.
- 11.11 PRF proceed with the preparation of GEF Project Identification Form (PIF) for submission to and review by the 4<sup>th</sup> EAS Partnership Council in July 2011.

## **12.0 CONCEPT PAPERS FOR A PEMSEA FINANCIAL SUSTAINABILITY PLAN AND PEMSEA ADVOCACY PLAN (PC/10/DOC/10e)**

Discussion Highlights:

### ***PEMSEA Advocacy Plan***

- 12.1 The Advocacy Plan requires:
  - a. improved focus on marketing of PEMSEA and PEMSEA branded products and services;
  - b. clear marketing targets and timeframes;
  - c. promotion of PEMSEA good practices covering issues such as contributions of Ministerial Forums, collaboration with Non-Country Partners for SDS-SEA implementation and leveraging of investment in ICM from local governments and the corporate sector;
  - d. clear definition of what products and services are being marketed; and
  - e. identification of potential investment partners and development of relationships with them.

- 12.2 Expertise is needed in order to develop an effective marketing plan. PEMSEA may need to contract this marketing expertise/skills.
- 12.3 PEMSEA needs to utilize a proven reliable mechanism for managing/monitoring use of investors' funds.
- 12.4 PEMSEA needs to develop innovative marketing techniques/technologies (e.g., Google; educational videos for children/politicians).
- 12.5 The PRF should conduct a survey of advocacy efforts of other agencies.
- 12.6 The PRF should take advantage of forthcoming international events and share information among Partners regarding such events.
- 12.7 The PRF needs to strengthen its advocacy capabilities in order to get the interests of funding agencies.

***PEMSEA Financial Sustainability Plan***

- 12.8 PEMSEA needs to prepare and initiate the implementation of a sustainability plan with the objective of demonstrating how it will survive beyond 2012.
- 12.9 The basis for PEMSEA's survival includes cost reduction, improved operating efficiency and finding new sources of revenue/funding.
- 12.10 The PRF should identify what other organizations are doing and learn from their good practices.
- 12.11 A mindset change is needed. A new culture of marketing services is required within PRF, as well as new relationships with clients and potential investors.
- 12.12 The rate of change in global market is accelerating. Organizations must be agile and productive.
- 12.13 ODA funding is available for sustainable development programs. These sources need to be reviewed, their priorities identified and assessed in the context of SDS-SEA objectives. The PRF needs to explore the planning and budgetary cycles of countries and funding organizations and work closely with countries in accessing ODA support.
- 12.14 Secondment of qualified staff from countries is a good way of strengthening the capacity and skills of the PRF; qualification criteria and TOR need to be developed and sent to all Partner Countries.
- 12.15 PEMSEA needs to develop its role as a regional coordinator/knowledge base of GEF projects.
- 12.16 A programmatic approach should be adopted to avoid overlaps and duplication with other agencies, projects and programs in the region.

- 12.17 PEMSEA's reputation in project management as well as financial management should be promoted.
- 12.18 PRF staff should go out and market PEMSEA and its activities and identify funding sources of countries and explore new opportunities.
- 12.19 The PRF should act fast in developing and implementing the advocacy and financial sustainability plans because there are many organizations competing for funds.
- 12.20 In line with their commitment to the Haikou Partnership Agreement on the Implementation of the SDS-SEA, the Government of Timor-Leste expressed its support to the continuous implementation of the SDS-SEA and announced their provision of financial support to PEMSEA, amounting to US\$ 100,000 on an annual basis.

Conclusions:

- 12.21 The advocacy and sustainability plans require the collective wisdom of the Partners.
- 12.22 Changes are required in order to strengthen the initiatives of PEMSEA and the delivery of quality products and services, build confidence on financial management capabilities, and purposeful outputs of countries.
- 12.23 As such, the following points need to be developed and implemented:
- a. a rigorous marketing procedure to improve PEMSEA's regional and global image;
  - b. a strong financial management system to build confidence and trust with investors;
  - c. an improved PRF delivery mechanism focused on quality products and services;
  - d. identification and development of existing and new sources of financing;
  - e. delineation of PEMSEA's advantage and opportunities (e.g., the Manila Declaration); and
  - f. defining products, services and events that can be developed as "self sustaining" (e.g., EAS Congress, training, certification).
- 12.24 The expression of funding support from Timor-Leste signifies serious commitment and investment for SDS-SEA implementation, particularly for human capacity development in coastal and ocean development and management.

Recommendations:

The Technical Session recommended:

- 12.25 The adoption of the proposed concept papers and work plan;
- 12.26 The PRF proceed with the development and implementation of the advocacy and sustainable financing plans with urgency;

- 12.27 Setting up Technical Working Groups (TWG) to prepare the two plans. The TWG for advocacy will be chaired by the Technical Session Chair. The TWG for the sustainable financing will be chaired by the Council Chair. Participation in the two TWGs will be confirmed by the PRF by mid-August, including Country and Non-Country Partners.
- 12.28 The work schedule for the development of the two plans will be the same as the 5-year SDS-SEA implementation plan, as identified in paragraph 10.8.
- 12.29 The Technical Session expressed its sincere gratitude to the Government of Timor-Leste for its generous contribution, and recommended that the funds be utilized for capacity development in support of SDS-SEA implementation in Timor-Leste.

### **13.0 PROCESS FOR DEVELOPMENT AND APPROVAL OF PEMSEA CODES, GUIDELINES AND GOOD PRACTICES (PC/10/DOC/13)**

#### Discussion Highlights:

- 13.1 A coding system should be developed for easy identification/recognition of PEMSEA informative documents.
- 13.2 A rigorous review of PEMSEA documents is designed to ensure conformance with international standards, and provide PEMSEA with the extensive recognition as a Center of Excellence in Coastal and Ocean Management.
- 13.3 The proposed process is lengthy. Shortcuts may be possible but such shortcuts should not reduce the quality of products to be certified by PEMSEA.

#### Conclusions:

- 13.4 Guidelines and criteria need to be developed to provide direction for the review and approval process to be undertaken by the Technical Session.
- 13.5 A technical advisory group needs to be set up within PEMSEA as a quality control mechanism on all PEMSEA technical documents.

#### Recommendations:

- 13.6 The Technical Session recommended that:
- a. The proposed procedure for certification of PEMSEA Codes, Guidelines and training materials be adopted, but with further consideration by the PRF on ways and means of reducing the time for completing the process, as well as the preparation of guidelines and criteria for the review and approval process of the Technical Session;
  - b. The PSHEM Code be considered as the first informative document to be submitted to the process;

- c. The PRF prepare a TOR for a technical advisory group to the EAS Partnership Council.

13.7 An international peer review will be invited to participate in the review of the PSHEM Code (e.g., IMO and ILO in the case of PSHEM Code) to facilitate recognition of this PEMSEA informative document internationally.

#### **14.0 PREPARATION FOR THE MAJOR UN CONFERENCES: RIO PLUS 20 AND CONFERENCE ON OCEANS AND SEAS IN 2015 (PC/10/DOC/14)**

##### Discussion Highlights:

14.1 UN Conferences serve as important forums and vehicles to promote PEMSEA activities and accomplishments.

##### Conclusions:

14.2 There is a need to come up with a clear and strong message of what PEMSEA would like to market in order to effectively showcase PEMSEA.

14.3 The products that PEMSEA has developed have to be creatively packaged and presented in a simple and easy to understand format and medium that will be of interest to people (i.e., not ICM focused, but output and outcome focused).

##### Recommendations:

##### The Technical Session recommended that:

14.4 The PRF refine the concept paper on PEMSEA's strategy for preparation for the UN Conferences, taking into consideration the need to identify the key messages to be highlighted or conveyed by PEMSEA and the products that will be showcased.

14.5 The PRF to explore creative and exciting means of packaging the various PEMSEA products.

#### **15.0 OTHER BUSINESS**

##### Discussion Highlights:

##### ***EAS Congress 2012***

15.1 The location of EAS Congress 2012 is under consideration by the Ministry of Land, Transport and Maritime Affairs, RO Korea. Four potential sites have been shortlisted.



### ***Yeosu Expo***

15.2 The Yeosu Expo provides a good opportunity for PEMSEA and its Partners to showcase efforts in the region.

15.3 The theme of the Expo is “Living Oceans and Coasts.”

### ***Venue of the 4<sup>th</sup> EAS Partnership Council Meeting***

15.4 The 4<sup>th</sup> EAS Partnership Council Meeting to be hosted by RO Korea, tentatively scheduled in July 2011 will provide an opportunity for Partners to review the action plan for the organization of the EAS Congress 2012 and conduct visits of Congress venues.

### ***Other events***

15.5 Partners are invited to participate in the CBD-COP 10<sup>th</sup> Meeting, to be held in Nagoya, Japan in the latter part of October 2010.

15.6 October 21 is Oceans Day during the COP organized by the Global Forum on Oceans, Coasts and Islands. The schedule for the Forum will be circulated to the Partners when it is available.

Conclusions:

### ***Yeosu Expo and EAS Congress 2012***

15.7 PEMSEA Partners and sponsoring organizations welcome the opportunity to participate in the organization and conduct of the Yeosu Expo and EAS Congress 2012 in RO Korea.

### ***EAS Partnership Council Meeting***

15.8 The Meeting accepted with appreciation RO Korea’s offer of hosting the 4<sup>th</sup> EAS Partnership Council Meeting.

Recommendations:

The Technical Session recommended that:

### ***Yeosu Expo***

15.9 The PRF continue to provide advice in the preparation and organization of the Yeosu Expo in 2012.

15.10 The PRF consult Partners and other GEF IW projects in the region that can be involved for the Yeosu Expo, including exhibits and workshops.

***Yeosu Project***

15.11 The PRF submit proposals for the second phase of the Yeosu Project for international organizations.

***EAS Congress 2012***

15.12 A draft action plan for the EAS Congress 2012 will be presented by RO Korea in the 4<sup>th</sup> EAS Partnership Council Meeting for review.

## **INTERGOVERNMENTAL SESSION**

### **A. INTRODUCTION**

- i. The Intergovernmental Session of the 3<sup>rd</sup> EAS Partnership Council Meeting was held at the Crowne Plaza Hotel, Dandong, Liaoning Province, PR China on 29 July 2010. The Intergovernmental Session was hosted by the State Oceanic Administration (SOA) of China.
- ii. The Intergovernmental Session was attended by representatives from ten PEMSEA Partner Countries, including: Cambodia; DPR Korea; Indonesia; Japan; Lao PDR; Philippines; RO Korea; Timor-Leste; and Vietnam. The Government of Thailand was represented as a participating country in the GEF/UNDP/UNOPS Project on Implementation of the SDS-SEA.
- iii. The Intergovernmental Session was also attended by the representatives from the United Nations Development Programme (UNDP) Country Office in Manila and UNDP Regional Centre in Bangkok, and the United Nations Office for Project Services (UNOPS). A consultant for the GEF IW Stocktaking Project was also present as an observer and resource person. The PRF served as the Secretariat.
- iv. In view of the absence of the Intergovernmental Session Chair, Dr. Li Haiqing, Dr. Chua Thia-Eng, Council Chair, presided over the Intergovernmental Session in line with the Guidelines for the Conduct of the EAS Partnership Council Meeting.
- v. Mr. Liang Fengkui, SOA of China, was invited to serve as co-chair of the Intergovernmental Session.

### **16.0 PRESENTATION ON THE GEF PROGRAMMATIC APPROACH AND THE PROCESS OF THE GEF JOINT STOCKTAKING MEETING**

#### Discussion Highlights:

- 16.1 The Non-Country Partners were invited to join the Intergovernmental Session for the first agenda item, namely a presentation by Dr. Anna Tengberg, GEF IW Stocktaking Consultant, regarding the Stocktaking initiative in support of the Preparation of a Programmatic Approach for the Coordinated Sound Management and Development of the East Asia Seas.
- 16.2 The initiative involves the following:
  - a. conduct of an analytical stocktaking review of past and current GEF IW projects and other related investments in the East Asian Seas region;
  - b. identification of geographical and thematic gaps;
  - c. identification of national and regional marine priorities and policies;
  - d. review of governance mechanisms and sustainability of involved organizations;
  - e. identification of coordination and funding needs;

- f. a review of existing results monitoring and reporting frameworks and regional cooperative frameworks including a gap analysis;
  - g. a review of existing knowledge management, communication and replication activities; and
  - h. development of a draft agenda for an October 2010 Stocktaking meeting, which will involve the concerned government agencies, stakeholders, GEF Secretariat and GEF Implementing Agencies.
- 16.3 The workplan and schedule, methods/criteria used and other details of the presentation are found in Annex 7.
- 16.4 The Stocktaking Meeting, which is being proposed to take place back-to-back with the expanded PEMSEA Executive Committee Meeting, to be held in Manila, Philippines in October 2010, should be organized and promoted in such a way that it does not create confusion. This is not an initiative headed by PEMSEA, but PEMSEA is fully cooperating in the conduct of the stocktaking process, including facilitation of the proposed October regional stocktaking meeting.
- 16.5 A key contribution of PEMSEA to the programmatic approach for sound management and development of the Seas of East Asia is the SDS-SEA, which has gained more buy-in over the years and can serve as a regional framework for the development and management of coastal and marine areas.

Conclusions:

- 16.6 The stocktaking initiative provides a good opportunity for relevant organizations in the Seas of East Asia to coordinate, identify overlaps and gaps in the various activities and projects in the region.
- 16.7 It is important to emphasize that the Stocktaking meeting is GEF-initiated and will need to be coordinated with GEF focal persons in each country.
- 16.8 PEMSEA focal persons, on the other hand, will be able to provide support by completing the questionnaire distributed by Dr. Tengberg and providing information on the country initiatives or accomplishments contributing to the sustainable development and management of the East Asian Seas.

Decisions:

- 16.9 PEMSEA, as one of the GEF-supported programs in the region, will cooperate with the various organizations for the conduct of the GEF Stocktaking meeting.
- 16.10 Country Partners are urged to work closely with Dr. Tengberg and are requested to complete the questionnaire for the stocktaking review for inclusion in the final stocktaking report.

## **17.0 CONCEPT PAPER ON THE RE-ENGINEERING OF THE PRF (PC/10/DOC/10d)**

### Discussion Highlights:

- 17.1 Among economists public goods are products and services that can only be collectively financed because it is not feasible to require individual users to pay for using them. The PRF offers “public goods”, which will necessitate support and contribution from partners and stakeholders. While the PRF is envisioned to be self-sustaining, it should be “owned” by the countries and accountable to them.
- 17.2 There are models of organizational structures that the PRF and the working group can review. The CGIAR, in particular, is an umbrella mechanism that provides funding for its member organizations.
- 17.3 One of the major functions of the PRF is to assist countries with the implementation of the SDS-SEA. Thus PRF staffing requirements and functions need to be closely linked to the 5-year SDS-SEA implementation plan.

### Conclusions:

- 17.4 The priorities and needs of the countries should be considered in the process. The organizational structure should be flexible to be responsive to these needs.
- 17.5 Proposed staffing should be re-assessed in line with an organizational structure that identifies functions, tasks and responsibilities that the PRF will take on by 2015.

### Decisions:

The Intergovernmental Session decided to:

- 17.6 Approve the proposed concept paper on the re-engineering of the PRF;
- 17.7 Set up a Technical Working Group (TWG) to prepare the PRF re-engineering plan. The TWG will be chaired by the Intergovernmental Session Chair.
- 17.8 Follow the same work schedule for the development of the re-engineering plan as the 5-year SDS-SEA implementation plan, as identified in paragraph 10.8.;
- 17.9 Request the PRF to identify the strengths of PEMSEA, delineate the needs of partners, and review different organizational structures for presentation during the expanded EC meeting in October.

## **18.0 GEF/UNDP/UNOPS PROJECT ON SDS-SEA IMPLEMENTATION: ANNUAL WORKPLAN AND BUDGET FOR 2010 (PC/10/DOC/15a and 15b)**

### Discussion Highlights:

- 18.1 The project start-up encountered some delays in view of a number of issues including the lengthy review and approval of national work programs and budgets, as well as the adjustment in the new system of review and approval of contracts under UNOPS. However, all countries have now approved all work programs and budgets and are proceeding with project implementation.
- 18.2 The current technical delivery of PEMSEA is delinked with its financial delivery, with low spending reflected in current budget report (financial commitment and expenditure is about 50% with 21 months remaining in the project).
- 18.3 Participating countries in the GEF/UNDP/UNOPS Project on Implementation of the SDS-SEA gave the meeting their assurance for the timely completion of their respective country workplans and budget.

### Conclusion:

- 18.4 There is a need to accelerate the implementation of the project in order to ensure that all deliverables are completed and project funding expended by 2012.

### Decisions:

The Intergovernmental Session decided that:

- 18.5 The PRF will submit its annual workplan and budget simultaneously to UNDP and UNOPS every 4<sup>th</sup> quarter of the year in order to avoid delays in review and approval of workplan and budget.
- 18.6 The PRF will report to the Executive Committee on concerns or issues and actions taken related to the project implementation.
- 18.7 Countries implementing the GEF/UNDP/UNOPS Project on Implementation of the SDS-SEA will ensure timely implementation and completion of country workplans.

## **19.0 REVIEW AND ADOPTION OF THE COUNCIL AND TECHNICAL SESSIONS CONCLUSIONS AND RECOMMENDATIONS**

- 19.1 The Intergovernmental Session reviewed the Conclusions and Recommendations of the Council and Technical Sessions, with minor modifications.

Decision:

- 19.2 The Intergovernmental Session adopted all the recommendations of the Council and Technical Sessions as proposed.

## **20.0 OTHER BUSINESS**

### ***Agenda and Schedule of future EAS Partnership Council Meetings***

Discussion Highlights:

- 20.1 The current agenda and schedule of the EAS Partnership Council is quite heavy and lengthy.
- 20.2 To enable or encourage more high level officials or representatives from Country and Non-Country Partners to participate, it was proposed to reduce the agenda to key items only and table other agenda items in Executive Committee meetings.

Decision:

The Intergovernmental Session decided that:

- 20.3 The PRF will assess the agenda items to be tabled at the next EAS Partnership Council meeting and identify items that can be tabled at the intersessional Executive Committee meetings in order to simplify the agenda and shorten the Council Meetings.

## **21.0 CLOSING CEREMONY**

- 21.1 Dr. Chua Thia-Eng, expressed his appreciation to the co-chairs, all the Partners, the representatives from UNDP, UNOPS, and the GEF IW stocktaking consultant for their participation in the Meeting. He also expressed sincere thanks to the State Oceanic Administration of China for their support in organizing the meeting. He urged all Partners to take immediate action to complete all pending deliverables as well as to expend fully the budget allocation in the countries. Dr. Chua noted the developments in moving forward into a transformed PEMSEA, and cited the good news and expression of financial support from Timor-Leste as a positive recognition and support to PEMSEA activities. Finally, he wished for a well-planned GEF stocktaking meeting in October 2010.
- 21.2 Mr. Hiroshi Terashima commended all the participants in making the 3<sup>rd</sup> EAS Partnership Council a fruitful meeting. He emphasized that there remain a number of key issues that need to be addressed and that all Partners should work hard to overcome the challenges. He encouraged the cooperation of all Partners in creating a transformed PEMSEA.

- 21.3 On behalf of the Country Partners, the representatives from the Philippines and RO Korea expressed their gratitude to the Council Session Chairs for efficiently presiding over the meeting. The Philippine representative assured the meeting that countries will find a way to ensure that workplans are fulfilled and project budget are expended by 2012. He noted the proactive approach being undertaken by the PRF in moving the transformation of PEMSEA forward. The representative from RO Korea ensured their continued financial contribution to PEMSEA and invited all Partners to accord the same support to RO Korea for their hosting of the 4<sup>th</sup> EAS Partnership Council Meeting in July 2011.
- 21.4 Dr. Jose Padilla, Regional Technical Advisor for Marine, Coastal & Island Ecosystems, UNDP Regional Centre in Bangkok, found the meeting to be a good learning experience. He reiterated UNDP's support for the project to ensure completion of the project on time and with the quality that PEMSEA is known for.
- 21.5 Ms. Katrin Lichtenberg, Senior Portfolio Manager, UNOPS, remarked that PEMSEA has a number of accomplishments to be proud of. She noted that the continued success of PEMSEA depends on its strong Partnership. Ms. Lichtenberg offered her full support to the project and while there are set of rules to be followed, she assured the meeting that UNOPS has been flexible and that UNOPS' operational base will be accommodating and fitting to PEMSEA activities.
- 21.6 Prof. Raphael Lotilla, expressed his gratitude to all the participants and for their contribution to the meeting discussions. Prof. Lotilla thanked the members of the Executive Committee for accepting the request to stay on and oversee the process of PEMSEA transformation. In closing, he invited all the Partners to the 4<sup>th</sup> EAS Partnership Council Meeting in 2011 in RO Korea, and in other PEMSEA activities.
- 21.7 Dr. Chua Thia-Eng, declared the Council Meeting closed at 5:30PM, 29 July 2010.

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**ANNEX 1**  
**List of Participants**

**3<sup>rd</sup> EAS Partnership Council Meeting**  
**Crowne Plaza Hotel**  
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**26-29 July 2010**

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**ANNEX 2**  
**Full Text of Speeches**  
**Opening Ceremony**

**Welcome Remarks of Dr. Zhang Zhanhai  
Director General, Department of International Cooperation  
State Oceanic Administration, China**

Dr. Chua Thia-Eng, Chair of PEMSEA Partnership Council,  
Mr. Hiroshi Terashima, Technical Session Chair, EAS Partnership Council  
Prof. Raphael Lotilla, PEMSEA Executive Director,  
Mr. Zhao Xingwu, Director General of Marine and Fishery Bureau, Liaoning Province,  
Mr. Niu Xiangdong, Deputy Mayor of Dandong Municipal Government,  
Distinguished PEMSEA Partners and sponsoring agencies,  
Ladies and Gentlemen,

Good morning. It is our great honor to host the 3<sup>rd</sup> PEMSEA Partnership Council Meeting in China. On the occasion of opening, first of all, please allow me on behalf of the State Oceanic Administration of China, to express our warm welcome to all participants, and we are very happy to meet you today in the beautiful coastal city Dandong, China.

As we all know, this meeting is another important gathering for us after the successful conclusion of the 3<sup>rd</sup> EAS Congress which was held in November 2009 in Manila, Philippines. With the signing of the Agreement Recognizing the PEMSEA's International Legal Personality and Manila Declaration, together with the conclusions and recommendations of the EAS Congress 2009, these great achievements will serve as the policy documents guiding the development of PEMSEA in supporting the implementation of the SDS-SEA. I would like to congratulate PEMSEA on this remarkable progress.

While expressing congratulations, we must also bear in mind that the recognition of legal personality is just an initiation of our goal, there will be lot of new challenges to us. How will PEMSEA transform into a fully fledged international organization to better serve its partners will be one of important issue confronting us. Focusing on this issue, we expect fruitful discussions during this meeting.

Ladies and gentlemen,

The State Oceanic Administration and the governments of coastal provinces have attached great importance on the cooperation with PEMSEA. We highly appreciate the role of PEMSEA in promoting the implementation of the SDS-SEA. We also would like to show our willingness to work together with PEMSEA, through implementing the SDS-SEA, to improve the knowledge and practice in the integrated coastal management, for example, environment protection, adaptation to climate change, marine disaster prevention and mitigation, sustainable utilization of marine ecological resources, etc.

I could not conclude my speech without mentioning our newly adopted national legislation, the "Sea Island Protection Law". The main purpose of the law is to protect the islands and its surrounding ecosystem, develop rationally the natural resources of the islands, so that to promote sustainable development of social economy. The principles of science based planning, protection first, rational development and sustainability will be applied. The governments at different level either directly under the State Council or

under the provincial level shall incorporate the protection and rational development of islands into the national economy and social development programs. The promulgation of the law provides a solid foundation of China towards the implementation of SDS-SEA. We are confident that new law, together with other marine related laws, will further promote and strengthen our ICM activities and make new contribution to the implementation of SDS-SEA.

I also want to take this opportunity to thank the Marine and Fishery Bureau of Liaoning Province and Dandong Municipal Government for your great support in preparation of the meeting.

Finally, I wish the meeting a full success and wish all of you a pleasant stay in Dandong.

Thank you.

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**Welcome Remarks of Mr. Zhao Xingwu**  
**Director**  
**Ocean and Fishery Department of Liaoning Province**

Dear Dr. Zhang Zhanhai, Director General, Department of International Cooperation, SOA

Dear Prof. Lotilla, PEMSEA Resource Facility Executive Director,

Dear Dr. Chua Thia-Eng, Chair of East Asian Seas Partnership Council

I am very pleased to be here, Dandong city, one of the famous summer resorts of China to attend the PEMSEA 3<sup>rd</sup> Council Meeting while the other cities are suffering sincere summer hot and humid such as New York, Moscow which I know from the TV news. First of all, please allow me on behalf of the Department of Marine Affairs and Fisheries of Liaoning Province to express congratulations to the opening of this meeting, and to extend warm welcome to all the participants.

State Oceanic Administration of the People's Republic of China, Department of International Cooperation, SOA, GEF, UNDP, UNOPS have been giving Liaoning Province strong support and great assistance, such as Panjin Integrated Coastal Management scaling up site, Dalinghe River project was chosen as Bohai sea pollution reduction demonstration site and so on, all these projects are conducted smoothly and making great progress which are welcomed by local authorities and local people. On behalf of Department of International Cooperation of Liaoning Province, I would like to take this opportunity to express heartfelt thanks to SOA, Department of International Cooperation of SOA, GEF, UNDP, UNOPS.

Liaoning is a coastal Province with a coastal line of 2,920 Kilometers, total population of 43,190,000. Liaoning Province administrates 6 coastal cities, such as Dalian, Dandong, Jinzhou, Yingkou, Panjin, Huludao. The Development Planning for Marine Economic Zone of Liaoning Province was upgraded in to the National Development Strategy in July 1<sup>st</sup>, 2009. By the end of 2009, in Liaoning Province, the GDP from Marine sector accounts for 17.1% and reach 25,694 billion RMB. In Liaoning Province, the local people love Ocean, cherish ocean, take good care of ocean, and keep close eye on marine environment and resources. Liaoning Provincial government publicized the "Liaoning Regulation on Marine Environment Protection" on June 14, 2006, as the following-up action, "Liaoning Planning on Marine Environment Protection" was issued, especially to mention, Liaoning Provincial government decided to provide the Yellow Sea Large Marine Ecosystem Program-Dandong wet land protection demonstration project with one to one match funds, in addition, we also provide certain manpower to guarantee the smooth implementation of this project.

Ladies and Gentlemen,

We highly appreciate the great effort you have made for implementing PEMSEA Program, which make great contribution not only to our generation but also to the generation to come. The people living in PEMSEA region love you deeper than they love ocean, you are the most respectful people, we will appreciate the great contribution you have made for PEMSEA.

Ladies and Gentlemen,

We are reviewing and adopting the next 5 year plan for PEMSEA, in this occasion, you chose Dandong as the venue of the 3<sup>rd</sup> PEMSEA Council Meeting which is the great engagement for us. I believe you will give continuous support and assistance to Dandong City and Liaoning Province in the next 5 years and even longer time. More and more PEMSEA demonstrated projects will be conducted in Dandong city and Liaoning Province. On this occasion, I would like, on behalf of Department of Marine Affairs and Fisheries of Liaoning Province to ensure that we will make every effort to well organize, coordinate and implement the projects. The people in Liaoning Province will remember you and thank you for ever.

Liaoning Province is located in the Northeast Part of China, at the foot of Changbai Mountain, bordering the Yellow Sea and Bohai Sea in the west. Liao Province is a lucky and magic place, where several eminent and statesmen were fostered, for example three former Chinese Premiers and three members of Chinese Political Bureau of Chinese Communist Party, the top leaderships of China worked in Liaoning Province. It is not easy to come to Liaoning Province, I kindly suggest that you take time to walk around in Liaoning Province, I believe when you go back home, you will get promotion in your career, and make fortune in your life, and own good health in your body.

Ladies and Gentlemen,

It is the Ocean that makes us meet together and establish Environment Partnership, and it is the ocean management that makes us meet together and become close friends. I believe that our friendship will last long just like the oceans and will be handed on generation to generation.

Last but not the least, I wish the 3<sup>rd</sup> PEMSEA Council Meeting a full success and wish you all the best.

Thank you.

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**Welcome Remarks of Mr. Niu Xiangdong  
Vice Mayor, Dandong Municipality  
Liaoning Province, China**

Dear Distinguished Guests,  
Ladies and Gentlemen,

Good Morning!

It is my pleasure to attend the PEMSEA the 3rd Council Meeting.

First of all, please allow me on behalf of Dandong Municipality to express warm welcome to all guests present here today.

Dandong is located in the Northern part of Yellow Sea, at the bank of Yalu River which marked the border of the People's Republic of China and the Democratic People's Republic of Korea. Dandong is also the northern start point of China's marine territory.

Dandong has the land area of 15, 000 square kilometers, and marine area of 3,500 square kilometers, the total population of Dandong is 2.4 3million. Dandong is the only border city in China with sea and river.

Dandong enjoys a moderate climate with harmonious distribution of rainfall. Dandong has abundant natural resources, such as virgin forest, underground mineral resources, and fresh water resources. The railway, express way, airport and port have formed perfect traffic network which provide Dandong with well developed infrastructures and solid foundations for economic development.

With The Development Planning for Marine Economic Zone of Liaoning Province being upgraded into Chinese National Development Strategy, as one of the important components, the ocean-related economy in Dandong got fast development, and capability of conducting Integrated Ocean Management has been greatly improved. Since the foundation of PEMSEA Council, with the joint efforts of inter-governments and agencies, to conserve and manage the environment and resources around East Asian Seas areas, to be committed to balancing ocean economic development and environment protection. Taking this opportunity, Dandong Municipality would like to promote the sustainable utilization and integrated management of ocean and coastal resources.

Dandong Municipality attached great importance to PEMSEA 3<sup>rd</sup> Council Meeting, and would like to provide the best service to all the guests. I kindly suggest everybody take a bit time to show around in Dandong, I believe the local people's enthusiasm and beautiful landscapes will leave you deep impression.

Wish the meeting full success, and wish all participants have a good stay in Dandong.

Thank you!

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## **Opening Remarks of Dr. Chua Thia-Eng Chair, EAS Partnership Council**

Colleagues, friends, ladies and gentlemen

First of all, a warm welcome to all of you to this 3rd Meeting of the Partnership Council and a special welcome to our new partners that joined the PEMSEA family recently. I wish to extend also similar warm welcome to our colleagues from UNDP, GEF and UNOPS as well as observers.

Let us thank our national focal point, the State Oceanic Administration of the People's Republic of China for hosting this meeting in Dandong. We wish to thank Dr. Zhang Zhanhai for his continued support in providing the necessary logistic arrangements for making this meeting possible.

### **Significance**

This meeting is of particular significance as it is the first meeting of the Partnership Council after PEMSEA attained its international legal personality. You may recall in November last year, 8 governments of the region have collectively endorsed PEMSEA's international status as the regional mechanism for coastal and ocean governance while the Ministerial Forums of 2003, 2006 and 2009 of PEMSEA participating countries have given PEMSEA the mandate to implement the Sustainable Development Strategy for the Seas of East Asia.

After 16 years of hard work, PEMSEA now has the legal status and the mandate to take a more proactive role by facilitating and mobilizing available resources for the implementation of the SDS-SEA. As such, we should now remember that PEMSEA's current and future role has gone beyond the implementation of just a GEF project.

### **Key Business**

In this regard, the agenda set for this meeting is a challenge to our collective wisdom in mapping up a course of actions that will strengthen national management capacity in coastal and ocean governance, build political and financial commitments, and forge regional cooperation and collaboration towards achieving the goal of the SDS-SEA.

### **SDS-SEA**

The framers of the SDS-SEA, of which I am one of them, recognize the socioeconomic, cultural, political and ecological complexities of the region and the mounting policy and management challenges to address common environmental and sustainable development issues across borders. As such a large part of the action programs of the SDS-SEA built around the various environment and ocean related international instruments with close reference to the Law of the Sea and Chapter 17 of Agenda 21. Most countries in the region are party to these international instruments. The SDS-SEA provides a regional political umbrella and an integrated governance framework that enable national governments to fulfill their international commitments and address cross boundary environmental and sustainable development issues in the East Asian Seas

region. However, there remains a challenge whether this overarching framework and strategy can go beyond conventional competitions between nations and agencies by harmonizing various political and organizational interests so that people of the region will stand to benefit from such cooperation.

### **Future Roles**

As of today, PEMSEA is composed of 11 country partners and 19 non-country partners. With the changing structure of PEMSEA and its mode of operation, PEMSEA's partners should be able to play a greater role in steering PEMSEA's policy and future activities towards the implementation of the SDS-SEA and its further improvements.

### **Transformation**

The transformation of PEMSEA and PRF is top of our business agenda. We need your advice and support to transform PEMSEA and the PRF so that we will have an efficient secretariat, a strong leadership and effective operational mechanism that will mobilize the collective efforts of the region. PEMSEA should transform itself from a UN-lead project-based program into an efficient, dynamic and effective regional mechanism with less bureaucracy.

We all have witnessed in recent years the rapid deterioration of our coastal and ocean environment, the negative impacts of climate change and the increasing exposure to risks from natural and man-made hazards. This calls for faster, more dynamic and proactive responses. As such, the transformation should look into the re-engineering of the PEMSEA Resource Facility that is able to effectively complement and add value to the work of our country and non-country partners.

The transformation process should also cover a redefinition of the roles of the Partnership Council and the Executive Committee so that both country and non-country partners can play a crucial role in shaping PEMSEA's policy and strategy in coastal and ocean governance. We should learn from successes and failures of treaty-led regional organizations and explore the effectiveness and efficiency of the management structure of other international organizations such as the CGIAR system.

Of utmost importance in our consideration is the sustainability issue. PEMSEA needs to give priority in developing an appropriate financing mechanism that will ensure its financial sustainability. While mandatory contributions from participating countries or partners could be an option, many past experiences had proven its ineffectiveness. Therefore, PEMSEA should explore a more innovative approach in addition to the voluntary contributions from participating countries/ partners. One fundamental criterion is that PEMSEA should strive to become the major or at least recognized by its partners as the major regional mechanism for the sustainable development of our coasts and oceans in East Asia.

### **Core Activities**

PEMSEA may need to focus its activities on specific core areas that could add greater values to the region such as integrated management of river basin and coastal seas, ICM scaling up, State of the Coasts (SOC) reporting, ICM code of practice, certification and reporting, capacity development in coastal governance, public-private sector



partnerships as well as networking of local government, institutions and individuals.

### **Stocktaking**

I am pleased that GEF is launching a stocktaking initiative to analyze the status and achievements of past projects and programs as well as to explore options that will strengthen regional cooperation in addressing many of the challenging environmental and sustainable development issues of the Seas of East Asia. PEMSEA supports this effort and will cooperate fully in this endeavor.

Finally, I am sure all of us will be very busy in the next few days deliberating on the challenging issues presented in the agenda. But I hope you will also spare some time to enjoy this very beautiful City of Dandong on the side of the Yalu River. If time permits you may wish to take a cruise along this beautiful river that border China and the DPR Korea.

Let me close my remark by asking all of you to work together to build our common vision and through our collective wisdom to transform PEMSEA into a regional mechanism that can make a difference.

Thank you and a pleasant good morning.

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**ANNEX 3**  
**List of Documents**

**3<sup>rd</sup> EAS Partnership Council Meeting**  
**Crowne Plaza Hotel**  
**Dandong, Liaoning Province, China**  
**26-29 July 2010**

**LIST OF DOCUMENTS**

<b>ITEM NO.</b>	<b>AGENDA ITEM</b>	<b>DOCUMENT</b>	<b>DOCUMENT NUMBER</b>
<b>Council Session</b>			
1.0	Organizational Matters	List of Documents	PC/10/DOC/01
		List of Participants	PC/10/DOC/02
1.3	Adoption of Meeting Agenda	Provisional Agenda	PC/10/DOC/03
		Annotated Agenda	PC/10/DOC/04
1.4	Matters Arising from the 2 <sup>nd</sup> EAS Partnership Council Meeting and the 4 <sup>th</sup> , 5 <sup>th</sup> and 6 <sup>th</sup> Executive Committee Meetings	Implementation Status of Major Recommendations and Decisions of the Previous Council Meetings, the EAS Congress 2009 and The Ministerial Forum 2009	PC/10/DOC/05
2.0	EAS Congress and Ministerial Forum 2009: Recommendations and Decisions calling for action by the EAS Partnership Council		
3.0	Issues Relating to PEMSEA's Transformation		
3.1	Proposal for Postponement of Executive Committee Elections	The Executive Committee	PC/10/DOC/06
3.2	Guidelines on Eligibility of Non-Country Partners	Guidelines on Eligibility of Non-Country Partners	PC/10/DOC/07
4.0	Report of the Council Chair	Report of the Council Chair	PC/10/DOC/08
5.0	Report of the Executive Director	Report of the Executive Director	PC/10/DOC/09
6.0	A Transformed PEMSEA: Vision, Mission and Operating Modality	A Transformed PEMSEA: Vision, Mission and Operating Modality: Blueprint for a Transformed PEMSEA	PC/10/DOC/10a

<b>Technical Session</b>			
8.0	Progress and Achievements in SDS-SEA Implementation	Summary of Progress and Achievements in SDS-SEA Implementation (2003 to 2010)	PC/10/DOC/11
10.0	Interactive Collaborative Planning among Partners for SDS-SEA Implementation	Interactive Collaborative Planning among Partners for SDS-SEA Implementation	PC/10/DOC/12
11.0	Concept Paper for a GEF/UNDP Regional Project on Scaling Up the Implementation of the SDS-SEA (2012-2015)	Concept Paper for a GEF/UNDP Regional Project on Scaling Up the Implementation of the SDS-SEA (2012-2015)	PC/10/DOC/10b (Annex B of the Blueprint for a Transformed PEMSEA)
12.0	Concept Paper for a PEMSEA Financial Sustainability Plan and PEMSEA Advocacy Plan	Concept Paper for a PEMSEA Financial Sustainability Plan (2012 - 2015)	PC/10/DOC/10e (Annex E of the Blueprint for a Transformed PEMSEA)
		Concept Paper on the PEMSEA Advocacy Plan	(Annex C of the Blueprint for a Transformed PEMSEA)
13.0	Development and Approval of PEMSEA Codes, Guidelines and Good Practices	Process for Development and Approval of PEMSEA Codes, Guidelines and Good Practices	PC/10/DOC/13
14.0	Preparation for the major UN Conferences: WSSD+10 in 2012 and UNCSD Conference on Oceans and Seas in 2015	Preparation for the major UN Conferences: WSSD+10 in 2012 and UNCSD Conference on Oceans and Seas in 2015	PC/10/DOC/14
<b>Intergovernmental Session</b>			
18.0	Concept Paper on the Re-engineering of the PRF	Concept Paper on the Re-engineering of the PRF	PC/10/DOC/10d (Annex D of the Blueprint for a Transformed PEMSEA)
19.0	GEF/UNDP/UNOPS Project on SDS-SEA Implementation	GEF/UNDP/UNOPS Project on SDS-SEA Implementation (2008-2010)	PC/10/DOC/15a
		GEF/UNDP/UNOPS Project on SDS-SEA Implementation Annual Workplan and Budget for 2010	PC/10/DOC/15b

**ANNEX 4**  
**3<sup>RD</sup> EAS Partnership Council Meeting Agenda**

**3<sup>rd</sup> EAS Partnership Council Meeting**  
**Crowne Plaza Hotel**  
**Dandong, Liaoning Province, China**  
**26-29 July 2010**

**MEETING AGENDA**

**26 July, Monday**

08:40 - 09:30 Registration

**A. Council Session**

09:30 -10:10 1.0 Opening of the Meeting

- 1.1 Welcoming remarks
- 1.2 Acknowledgement of New Partners and Observers
- 1.3 Adoption of the Agenda

10:10 -10:30 Coffee Break

10:30 -10:45 1.4 Matters Arising from the 2<sup>nd</sup> EAS Partnership Council Meeting and the 4<sup>th</sup>, 5<sup>th</sup> and 6<sup>th</sup> Executive Committee Meetings

2.0 EAS Congress and Ministerial Forum 2009: Recommendations and Decisions calling for action by the EAS Partnership Council

10:45 - 11:15 3.0 Report of the Council Chair Issues Relating to the PEMSEA's Transformation

4.0 Report of the Executive Director, PEMSEA Resource Facility

11:15 -11:45 5.0 Issues Relating to the PEMSEA's Transformation

5.1 Proposal for Postponement of Executive Committee Elections and Expansion of EC members

5.2 Guidelines on Eligibility of Non-Country Partners

11:45 - 12:30 6.0 A Transformed PEMSEA: Vision, Mission and Operating Modality

12:30 -14:00 Lunch

14:00 -15:30 7.0 PEMSEA Partners and Sponsoring Organizations: Views on Achieving and Sustaining a Transformed PEMSEA

15:30 -16:00 Coffee Break

16:00 -18:00 Continuation of Agenda Item 7.0

**27 July, Tuesday**

**B. Technical Session**

09:00 - 09:30 8.0 Progress and Achievements in SDS-SEA Implementation (2003-2010)

09:30 -10:30 9.0 PEMSEA Partners and Sponsoring Organizations: Perspectives on the SDS-SEA Implementation, Emerging Trends and Priority Issues

10:30 -11:00 Coffee Break

11:00 - 12:30 Continuation of Agenda Item 9.0

12:30 -14:00 Lunch Break

14:00 -16:00 10.0 Interactive Collaborative Planning among Partners for SDS- SEA Implementation

16:00 -16:30 Coffee Break

16:30 -18:00 11.0 Concept Paper for a GEF/UNDP Regional Project on Scaling Up the Implementation of the Sustainable Development Strategy for the Seas of East Asia (2012-2015)

**28 July, Wednesday**

09:00 -10:00 12.0 Concept Paper for a PEMSEA Financial Sustainability Plan and PEMSEA Advocacy Plan

10:00 -10:30 13.0 Process for Development and Approval of PEMSEA Codes, Guidelines and Good practices

10:30 -11:00 Coffee Break

11:00 -11:30 Continuation of Agenda Item 13.0

11:30 -12:00 14.0 Preparation for the major UN conferences: WSSD+10 in 2012 and UNCSD Conference on Oceans and Seas in 2015

12:00 -12:30 15.0 Other business

12:30 -14:00 Lunch Break

14:00 -18:00 **Field Trip**





**ANNEX 5**  
**Partners Statements**

## **COUNTRY STATEMENT OF CAMBODIA**

On behalf of the Royal Government of Cambodia, I would like to thank the People's Republic of China for hosting this Third EAS Partnership Council Meeting. As PEMSEA becomes an international organization, there is an increasing demand to address various issues at different scales. In the case of Cambodia, our efforts are no longer confined to implementation at the local level but also at the national level, including various sectors and agencies. We have also received interests from the provinces for ICM scaling up.

As part of the National Focal Agency from a developing country, it is also our limitation at times that our human resources and technical competencies are not enough to address the needs of our people. However, we are lucky that our country and non-country partners have helped us a lot in implementing several projects. It is my opinion that the strength of PEMSEA is that it is able to harness many of the technical and financial support and bridging it across the region so that developing countries such as Cambodia can benefit from our partners. We recommend to the PRF to strengthen the mechanism to mobilize our country and non-country partners to share their expertise across countries.

We have been mobilizing RTF to assist in local implementation throughout these years and if there will be institutional support then so much better. Having a good review of country needs on SDS-SEA as well as a long-term plan for SDS-SEA implementation should be done in the coming months so that we can match those needs and the expertise of the partners. That way, we can strategically address in-country and regional concerns. We are doing that as part of the development of the national ICM policy and scaling up program.

With regard to the Gulf of Thailand, related to the oil spill response, we would like to highlight the need for capacity building to enable us to respond to the issues that will come in the future.

Also, there is a need to strengthen laboratory for the coastal area since we are going to scale up the ICM along the coastal provinces.

We are committed to strengthen PEMSEA as an international organization to address the region's need for a strong organization that promotes sustainable development through an integrated approach to coastal management.

Thank you.

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## COUNTRY STATEMENT OF CHINA

The 2009 was an important year in PEMSEA history. In that year, many important events have occurred, the launching of the 3<sup>rd</sup> phase of PEMSEA project, the successful convening of the third EAS Congress, including the 3<sup>rd</sup> Ministerial Forum, as well as the acquisition of the recognition of the legal personality of PEMSEA, which marks the new beginning of PEMSEA as an international organization.

During the inter-sessional period, many activities have been organized and implemented by the NPCC of China. The main activities include the following aspects.

### I. National activities

#### a. National Inception Workshop

The National Inception Workshop of PEMSEA's third phase was convened from 4-6 June 2009 in Dongying, Shangdong, China. The participants of the workshop are leaders both from national and provincial level, including Mr. Sun Zhihui, Administrator of the State Oceanic Administration, and Mr. Jia Wanzhi, Vice Governor of Shandong Province as well as the representatives of departments and bureaus of ocean and fisheries of coastal provinces and cities. During the workshop, the National Project Coordinating Committee and Experts Steering Committee as well as the Project Management Office were established to oversee the implementation of SDS-SEA in China. Apart from that, the Department of International Cooperation of the SOA has signed implementation agreements with each of the participating organization for the implementation of PEMSEA project. Fifteen (15) agreements have been signed during the workshop. These agreements have paved the way for the smooth implementation of PEMSEA activities in China.

Under the NPCC, we have also established two working groups, one is for the Integrated River Basin project and the other is for the scaling up of ICM. The working groups are responsible for guiding the actual implementation of the activities.

#### b. Organization of the annual review meeting

Annual Review Meeting was organized from 2-5 March 2010 in Kunming, Yunnan Province. The working groups reported to the meeting their annual work summary and their future work plan. The participants also discussed the problems encountered during the process of implementation and found the possible solutions.

#### c. Organization of the training courses

The NPCC in cooperation with PEMSEA conducted a training course on the implementation of PEMSEA projects, from 11-15 June 2010, in GuiYang, Guizhou Province. The main purpose of the training course is to help the local governments involved in PEMSEA activities in their implementation process and provide guidance on the correct way to implement. We have invited experienced experts to give lectures and give hands-on training and were welcome by the participants. More than 40 people including the lectures attended the training course.

- II. New legislation was adopted and other ocean related important document approved:
  - a. “the Sea Island Protection Law” was adopted and implemented. It serves to protect the islands and its surrounding ecosystem for all coastal provinces, rationally develop the natural resources of the islands, so as to promote sustainable development of social economy. The promulgation of the law provides a solid foundation of China towards the implementation of SDS-SEA. The new law, together with other marine related laws, will further promote and strengthen ICM activities and make new contribution to the implementation of SDS-SEA in China.
  - b. The Outline of National Marine Cause Development and Planning was adopted by State Council in 2008. The outline will facilitate the implementation of SDS-SEA in China. The fundamental principle for the development of marine affairs is to take an integrated approach, further promote the concept of integrated marine management, insist on sustainable development, strengthen the protection of environment and its resources to promote the development of ocean economy. The outline stresses the local governments at various levels shall mainstream the content of the outline into their own local national economy and social development plan and formulate the implementation plan. The State Development and Reform Commission and the State Oceanic Administration will be responsible for supervising and providing guidance to the implementation of the outline.
- III. Participation to Activities organized by PEMSEA
  - a. Participation in the EAS Congress 2009. The NPCC has organized a series of delegations to EAS Congress including the delegation of ministerial forum. The Administrator of SOA, Mr. Sun headed a delegation to the 3<sup>rd</sup> Ministerial Forum and signed the agreement recognizing PEMSEA’s legal personal
  - b. The NPCC has also organized a delegation to participate in the international conference during the EAS Congress. We selected one representative from each coastal province and city involved and gave financial support to their participation.
  - c. Organized two special groups for local governments to encourage them to be involved with PEMSEA. They are delegations from Hebei and Dongying.
  - d. Seven participants to attend the sea use planning courses just before the Congress.

In summary, the above activities are very helpful to get local governments to be familiar with the activities of PEMSEA and increased their awareness of PEMSEA as well.

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## **COUNTRY STATEMENT OF DPR KOREA**

Mr. Chairman,  
Your Excellencies,

On behalf of DPR of Korea delegation, I would like first of all to extend my gratitude to the State Oceanic Administration of the People's Republic of China, the People's Government of Liaoning Province and Dandong City and the PEMSEA Program Resource Facility for their kind invitation to the 3rd EAS Partnership Council Meeting and for the many sided efforts for the success of the Council Meeting.

Guided by the Juche idea, which regards man as the most precious being in the world and clarifies that everything should serve man, the DPRK has followed the policy of protecting and managing its land and resources and developing and utilizing them effectively for the prosperity of the country and improvement of the people's standard of living.

The DPR of Korea, which has participated from the first stage of PEMSEA started in 1994, is one of the first countries which signed the "Agreement on PEMSEA's Legal Personality" and "Manila Declaration" in the 2009 EAS Congress held in Manila, Philippines.

Even under the particular circumstances in which the DPRK could not participate in the PEMSEA's UNDP co-operational programme "the implementation of the Sustainable Development Strategy for the Seas of East Asia" due to the suspension of UNDP's DPR of Korea cooperation in 2007, the DPRK showed the invariable responsibility for performing its duty as a signatory of "Putrajaya Declaration" and "Haikou Partnership Agreement" through the successful implementation of the project "Development of Strategies for the Implementation of the SDS-SEA in the DPR Korea" in 2009 with PEMSEA's active support.

I take this opportunity to highly appreciate PEMSEA's understanding of the DPRK which could not participate in PEMSEA's UNDP co-operational programme and, in particular, PEMSEA's efforts for implementing cooperation projects in DPRK by mobilizing new financial support.

The resumption of UNDP's cooperation in the DPRK last November provides favorable conditions to put on the right track the co-operational relationship between the DPRK and PEMSEA. As in the past and in the future, I look forward to PEMSEA's continuous cooperation including the mobilization of additional fund aimed at implementing without suspension the declarations and agreements adopted in EAS Congresses through ICM scaling-up of Nampho National ICM Demonstration Site in the DPRK, in keeping with the pace of the countries which receive PEMSEA's regular cooperation through UNDP programme.

Please allow me to conclude my speech with the best hope that all the issues on the table will be successfully discussed by the active efforts of all participants in this meeting which will make a significant contribution to PEMSEA's transformation and development as an international organization.

Thank you.

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## **COUNTRY STATEMENT OF THAILAND**

Thailand has recognized the very importance of coordinating efforts in managing the marine and coastal environment which are the responsibility of multiple government agencies at the national level and the local community level.

In realizing that the environmental problems are transboundary issues, Thailand cooperates with many countries/organizations, both regional and international level, to relieve threats and hazards and to increase/strengthen the protection and conservation of resources for sustainable management.

The highlighted ongoing marine and coastal resources management are the issues of marine and coastal biodiversity, ecosystem-based management which has been implemented in many areas including for fisheries; marine protected areas (MPA) with targets to increase by 25% of total coastal area in 2012; marine invasive species; marine debris; mitigation and prevention of coastal erosion problem by participating approach; climate change and impact of oceanographic process on coral reef, ecosystem and coastal community; natural hazard and disaster preparedness; public participation on monitoring and co-management of coastal resources. All of these have the ultimate goal of improving the information base, strengthening planning and coordination, and promoting increased participation and consultation with all stakeholders.

Most of the activities related to SDS-SEA program in Thailand are done by all related agencies concerning marine and coastal management because most strategies in the SDS-SEA program are their major mandates already. PEMSEA can support in some national requirements if they need such as in legal, technical/scientific research or financial management.

The ICM program for local government is very good practice and should be strengthened and extended more to other areas as it is a network for the region to share experience and for capacity building. No organization in this region has this regional network for local government program so PEMSEA should be strengthened and play more of this important role.

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## **COUNTRY STATEMENT OF TIMOR-LESTE**

Distinguished participants, ladies and gentlemen,

On behalf of the Government of Timor-Leste, I would like to thank the Government of the People's Republic of China and PEMSEA for inviting Timor-Leste to the 3<sup>rd</sup> East Asian Seas Partnership Council Meeting. We consider our participation here as important, particularly since this forum will discuss further actions to enhance the implementation of the SDS-SEA including collaborative planning among the Partners. Although Timor-Leste is a young nation, the government shares the commitment and vision of the PEMSEA partnership for the sustainable development of the Seas of East Asia.

Timor-Leste is endowed with natural resources and lies within a hotspot of marine biodiversity which we know as the Coral Triangle. The natural environment is relatively in a pristine condition, coastal and marine ecosystems are relatively intact, and human impacts occur at low and mostly local scale. Poverty, however, is predominant particularly in rural areas, and local coastal communities rely heavily on coastal and marine resources for their livelihood. Reducing poverty and decreasing pressure on marine and coastal resources are hence major challenges for marine and coastal management in Timor Leste.

The Government of Timor-Leste, in collaboration with various partners, has made some progress towards enhancing marine and coastal resource management in the country. We have just completed a detailed study, which included coastal and marine habitat survey, mapping and species identification and river catchments and marine productivity assessment, which we can use to design appropriate strategies for managing our marine, coastal and catchment areas including establishment of MPAs and eco-tourism development. The first national park in Timor-Leste, the Nino Konis Santana National Marine Park, which includes 55,600 hectares of the Coral Triangle, was declared in 2008. Various initiatives that aim to develop capacity and support sustainable development of fisheries, aquaculture, agriculture, alternative or supplemental livelihood, water supply and sanitation, biodiversity conservation and habitat protection and rehabilitation, disaster risk management, flood control and mitigation have also been undertaken.

Timor-Leste is also currently hosting the Regional Secretariat of the Arafura and Timor Seas Expert Forum, and implementing the Arafura and Timor Seas Ecosystem Action Project together with Indonesia and Australia. A joint cruise of the Arafura and Timor Seas has been recently concluded in order to generate information on the oceanographic characteristics and status of living marine resources in the area. Timor-Leste is also one of the member countries of the Coral Triangle Initiative and fully endorsed the CTI Regional Plan of Action. Current CTI initiatives in Timor-Leste include establishment of marine protected areas and strengthening the management of the marine national park.

Timor-Leste is also a signatory to the United Nations Convention on Biological Diversity (UNCBD), United Nations Convention to Combat Desertification (UNCCD), and United Nations Framework Convention on Climate Change (UNFCCC), and we are currently in the process of developing a national adaptation plan of action (NAPA),



national biodiversity action plan (NBSAP), and a Program of Work on Protected Areas (PoWPA).

We are grateful for all the assistance that we have been receiving in order to enhance our capacity to protect and develop our coastal and marine resources in a sustainable manner. Overall, however, we recognize that more effort is still required, particularly with regard to improving food security and livelihood management, habitat protection, restoration and management, and water use and supply management.

With regard to the governance aspects, we still need to strengthen policies, strategies and plans, institutional arrangements, legislations, and financing mechanisms related to marine and coastal management. Further developing the technical and management capacities of government officers and stakeholders as well as the information management system for the conservation and management of marine and coastal resources are very important. And, as we believe that the management of the country's marine and coastal resources should not be the sole responsibility of the government, we need to continue working together with local communities, NGOs, members of civil society, private sector, academic institutions, and technical experts for the efficient management of our resources.

We face many challenges as we work toward our goal of sustainable development of our marine and coastal areas. Through this forum, we hope to learn from the experiences of other countries in facing similar challenges and in developing and applying innovative policies, legislation, technologies and practices in support of sustainable marine and coastal management. We also hope to develop collaboration and cooperation with other partners who can provide technical expertise and assistance in these areas.

Finally, we would like to reiterate that our government remains committed to work together with our neighbors and colleagues in the region in implementing our common strategy for the sustainable development of our shared seas and resources. We welcome the transformation of PEMSEA into an international organization, and hope that this will help us all build a stronger partnership that will steer us toward our common goals. We also support the initiative to develop an implementation plan for the SDS-SEA and look forward to participating in the planning process.

Thank you very much.

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## **COUNTRY STATEMENT OF VIET NAM**

First of all, on behalf of the Government of Viet Nam, we would like to express our sincere thanks to PEMSEA and the Government of the People's Republic of China for inviting Vietnamese representatives to the 3<sup>rd</sup> East Asian Seas Partnership Council Meeting. The meeting is an important event, as it discusses about further actions and in-country implementation of the SDS-SEA as well as among the PEMSEA partners. Viet Nam is one of PEMSEA's member states and has participated in the PEMSEA activities for two phases from which it has received a lot of technical assistance, policy support, as well as assistance in human resources development at local and central levels. There are also very essential achievements of PEMSEA with long-term impacts to its member states.

Viet Nam is a maritime country with long coastline over 3,200 kilometers (except island's coastline). The seas and coasts of Viet Nam are rich in natural resources and provide the potentials of economic development for the country and livelihoods for the coastal communities. With these advantages, Viet Nam has expanded the development of activities in the coastal and marine areas. The development of industrial activities, mining, tourism, aquaculture, agriculture, port and shipping, urban expansion in the country have generally been concentrated in the coastal areas. The industrial activities, tourism and urbanization are likely to increase in the coastal areas in the future due to unchecked population growth and developmental needs of the country. The Vietnam government has made a number of efforts in formulating and implementing different management policies and legislation on coastal and marine management and governance. However, the laws and policies have been prepared and implemented in a sectoral manner. The new approaches in managing the coastal areas are still not yet applied such as integrated, broad-based, and ecosystem-based. Therefore, Viet Nam is facing challenges in its coastal areas, mainly from poverty of rural areas and local coastal communities, loss of biodiversity, destroyed coastal habitats, increasing population, degradation and pollution of the coastal environments.

After 1995, the ICM initiatives have been formulated at the central level and implemented at local level with pilot projects supported by government and international organizations. It is the period for action whereby ICM awareness and practices were set in place, especially through PEMSEA's assistance. Recently, political and financial commitments from our government are stronger and the coastal communities are being involved in coastal management process of our country.

The Vietnam-PEMSEA project on marine pollution and integrated coastal management established a regional pilot site in Danang City with 02 phases (2001-2008). The project designed the ICM plan for the city, which was approved by the city government and applied in the process of urbanization. In 2009 to 2012, ICM activities will be scaled up into other coastal provinces in Viet Nam to apply the results from Danang ICM model such as: Thua Thien – Hue and Quang Nam coastal provinces. At the central level, Vietnam Administration of Seas and Islands (VASI) serves as the national focal point of PEMSEA to coordinate the implementation of ICM scaling up and the in-country implementation of the SDS-SEA. The VASI is also coordinating National program on ICM in 14 provinces in central Viet Nam toward year 2010 and vision 2020 (from Thanh Hoa down to Binh Thuan province). The program is promoted at the local

level and using financial support from the government by Decision No 158/2007/QĐ-TTg of the Prime Minister dated in October 2007.

In addition, a number of support initiatives related to ICM have been implemented in this period using financial support from the government, some of them are:

- The national program on marine science and technology in years 2006-2010, including some projects to study scientific baseline to serve as basis in the development of ICM plan.
- The national project on comprehensive surveys on marine environment and resources in years 2006-2010, vision 2020.
- The national project on planning a national system of marine protected areas (MPAs) towards year 2015 and orientations to 2020. The system has been planned with 16 MPA sites which have been approved by the Government in May 2010.
- The projects on MPA pilot sites in Nhatrang Bay (2001-2005) and in Culaocham (2006-2010) and others.
- The national system of marine environmental monitoring (established in 1995)
- The national project on socioeconomic surveys in coastal areas and islands (2006-2010).
- National strategy and policies on comprehensive poverty reduction towards 2010, vision 2020, focusing on 157 poor coastal communes.
- National target program to respond to climate change toward 2020 approved by the Government in December 2008.
- National guidelines on coastal and sea use planning (in preparation).

In the near future, PEMSEA will become a key regional organization and the strength of PEMSEA is continuously to provide technical and policy assistance for its members states in the implementation of the SDS-SEA and the Manila Declaration, as well as in the ICM framework in Viet Nam in the new climatic regime. In the context of PEMSEA, Viet Nam will increase the collaboration with PEMSEA by the integration of national, local relevant activities into the PEMSEA plan of action. In this way, Viet Nam will diversify financial resources for investing in the ICM scaling up and the SDS-SEA implementation in Viet Nam in the future.

At present, Viet Nam is preparing the Law of Marine Resources and Environment which will include ICM, coastal use zoning and coastal and marine spatial planning. Regarding the Gulf of Thailand's oil spill response, like Cambodia, we would like to highlight the need for capacity building to enable us to respond to the issues that will come in the future.

We signed the Putrajaya Declaration adopting the SDS-SEA, the Manila Declaration and ICM scaling up and we are committed to strengthen PEMSEA as an international organization to address the region's need that promotes sustainable development through an integrated approach to coastal management. We also need more political support from the PEMSEA member states in the implementation of the above mentioned important concerns.

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## STATEMENT OF YSLME

Dear Mr. Chairman  
Distinguished colleagues,

First of all, as a member of the Partnership Council, I would like to congratulate the PEMSEA RPO, and officers of the Council and ourselves as the partners on the formal agreement of PEMSEA legal personality, which is important achievement during the last intersessional period.

For better discussions on the agenda item 7, 9 and 10, if the Council adopted them as they are, I think it is necessary to have better preparations before these agenda items to be submitted to the Council for consideration, in particular the agenda item 10, ***Interactive Collaborative Planning among Partners for SDS-SEA Implementation***. One of the effective ways is to form a sessional working group, with one of the Council Officers as the Chairman, taking the advantage of the most partners are presented here at the meeting.

Mr. Chairman, if you allow me, I would like to share some views with all the partners, and make a suggestion on a better preparation for these agenda items.

With successful conclusion of the PEMSEA's legal personality, we noted, with great interests, of an efforts by the PEMSEA RPO, to distribute a questionnaire to all partners on the relevant issues of implementation of SDS-SEA. While completed the questionnaire on behalf of the YSLME project, I feel that it is necessary to identify a *"multi-directions approach"* not only for the effective implementation of PEMSEA's activities, but also for the mutual benefits for the other projects at regional and sub-regional levels.

### **Examples of mutual benefits, and better co-ordination**

***What SDS-SEA means to other projects?*** SDS-SEA provides a political framework and agreements in the Southeast Asia and East Asia areas for the actions in protecting marine environment and sustainable uses of coastal and marine resources. What this important political agreement and framework be used in the other organizations and projects for the same or similar purpose? So far, not much. How we should use it in future? We need to work out collectively. However, I would like to give a simple example from the current project of YSLME. SDS-SEA has approved by the same GEF focal points and the same ministries in the participating countries of YSLME project. The environmental problems and management action required between SDS-SEA and YSLME SAP are almost the same. Why we should spend a lot of effort and a lot of time to seek for another formal endorsements from the same GEF focal points, and the same ministries? Can we use the political agreement and framework generated during last 16 years, as umbrella?

On another hand ***What other partners, e.g., YSLME SAP, can contribute to the implementation of SDS-SEA?*** We all know the SDS-SEA has identified (i) what are environmental problems in the region; (ii) what are the possible causes of these problems; and (iii) how to solve these problems. If we need to implement the SDS-SEA,

there is urgent need to further identify **Who** is doing **What**, by **When**, and to achieve **What targets**. Does PEMSEA need to identify all these issues in all the regions? The answer is simple and clear, no need. The YSLME SAP has identified all the issues. The implementation of the SAP will provide substantive contribution at the sub-regional level to achieve the objectives of the SDS-SEA.

Mr. Chairman, the complementary roles are clear here. The question that we need to answer in the agenda item 10, would be how the most, if not all, partners can collectively find the ways to work together. Based on my experience, I think that we can not work out the strategic and practical solution based on the different organizations, and project. We have to consider a countries-centered approach, to address the priority issues of the countries.

Finally, I would like to indicate that to find a complementary roles in implementing SDS-SEA is slightly different with the on-going efforts on GEF stocktaking exercise and programmatic approach, as there are more partners here in the PEMSEA council, not all of them from GEF associate organizations, and project; even not all of them from the region. However, what we discuss here can largely contribute to the GEF's efforts.

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**ANNEX 6**

**Stocktaking in Support of the Preparation of a Programmatic Approach for  
the Coordinated Sound Management and Development of the East Asia  
Seas**

**by**

**Dr. Anna Tengberg  
GEF IW Stocktaking Consultant**

## Objective

- To conduct an analytical stocktaking review of past and current GEF operations and other investments in EAS in support of a consultative review meeting of the findings.
- The background paper will inform the Stocktaking Meeting and support the formulation of an agreed **common vision** as the basis for the development of a common agenda or **programming framework** to be financed by the IW focal area with possible linkages to other GEF focal areas.

## Expected Outputs

1. Review of past and current activities involving GEF and its financing partners, including an account of their main achievements to date, challenges and limitations faced, evidence of overlap and evidence of synergy, review of linkages among existing regional frameworks and institutions;
2. Identification of geographical and thematic gaps;
3. Identification of national and regional marine priorities and policies;
4. Review of governance mechanism and sustainability of involved organizations;
5. Identification of coordination and funding needs;
6. A review of existing results monitoring and reporting frameworks, regional cooperative frameworks, including a gap analysis;
7. A review of existing knowledge management, communication and replication activities including a gap analysis.
8. A draft agenda for the October 2010 Stocktaking meeting.

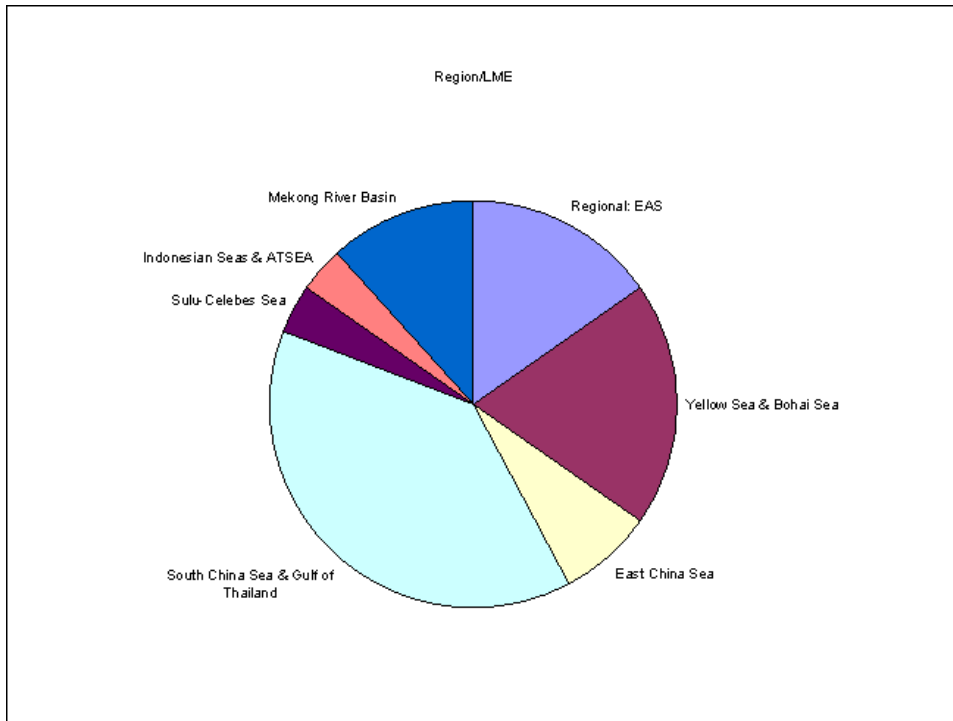
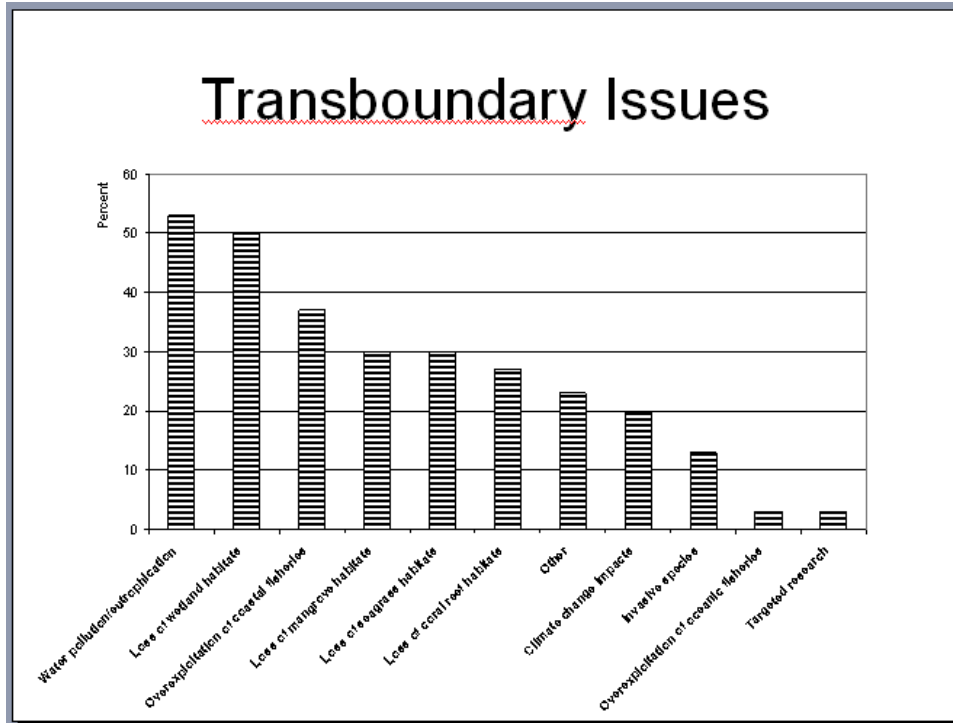
## Timeframe

- **14 June:** Inception Report
- **19 July:** First draft of Inception Report
- **26-30 July:** PEMSEA Partnership Council Meeting
- **End Sept:** Final draft of Stocktaking Report
- **End Oct:** Stocktaking meeting with riparian countries at the margins of PEMSEA Executive Board Meeting (Manila)
- **Mid Nov:** Stocktaking Meeting Report feeding into a new Programmatic/Strategic Approach for the EAS
- **End Dec:** First round of PIFs preparation/finalization
- **Early 2011:** Submission of Strategic Programme to GEF Council

## Methods: analysis of GEF projects

- **Desk study** using all relevant documentation from the GEF website, project publications and evaluations and interviews as appropriate. All projects are being reviewed and scored using the following criteria:
  - 1) The extent to which the following **priority transboundary issues** have been addressed:
    - Water pollution from land-based sources
    - Water resources uses and management in coastal zones and small islands, including groundwater
    - Unsustainable exploitation of fisheries – coastal and oceanic
    - Protection of fisheries habitats (coastal wetlands, mangroves, seagrass beds and coral reefs)
    - Invasive species
    - Climate change impacts in the EAS

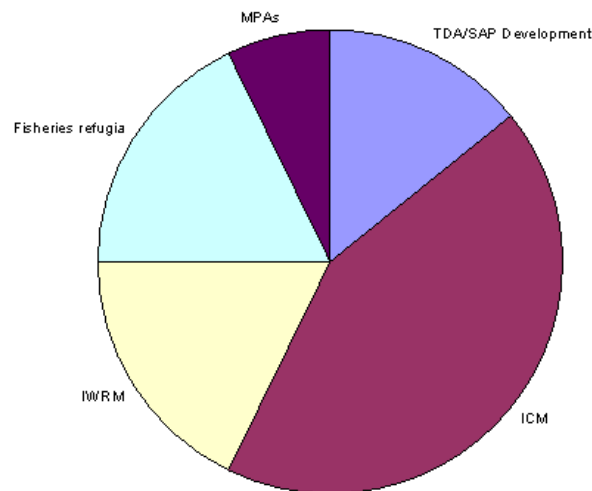




## Methods: analysis of GEF projects

- 2) **common approach** that these concerns were addressed by the GEF Projects in the LME, including the use of:
- Transboundary Diagnostic Analysis (TDA) and development of a Strategic Action Programmes (SAPs)
  - Integrated Coastal Management (ICM)
  - Ecosystem-Based Management (EBM)
  - Integrated Water Resources Management (IWRM)
  - Rights-based approaches to habitat and fisheries management (e.g. establishment of fisheries refugia)
  - Conservation-based approaches to habitat and fisheries management (e.g. establishment of marine protected areas)
- 3) Impact of the projects in influencing national policies to address these concerns.
- Survey of national policies (see questionnaire)

IW Approach



## Governance Analysis

Governance Mechanisms	Level of Collaboration (Monitoring Program and Information Sharing (M) – 1 p; Action Plan (AP) – 1p/ Legally Binding Agreement (LEA) – 2p; Legal Personality of Inst. (LP) – 3p) (Max 6)	Core funding (US \$/Yr) (Scoring system to be developed)	Involvement of riparian countries (Single country – 1 p; 2 or more countries - 2 p; all countries bordering the LME – 3 p) (Max 3)	Involvement of national stakeholders (1 National Inst. (NI) per country – 1 p; Participation of Local Government in society. (LG) – 1 p; Multisectoral (M) – 3 p) (Max 4)	Overall weight (Max 13)
<b>1. Regional EAS Mechanisms</b>					
PEMSEA					
COBSEA					
NOWPAP					
ASEAN					
CTI					
MFF					
<b>2. Sub-regional/LME Governance Mechanisms</b>					
ATSEF					
SSMME					
YSLME Future Commission					
<b>3. Regional Fisheries Governance Mechanisms</b>					
WCFFC					
APFIC					
SEA/DEC					
<b>4. River Basin Governance Mechanisms</b>					
MRC					

Will be complemented by analysis of mandates and membership in order to identify opportunities for enhanced collaboration and synergies (as well as overlaps)

## Methods

- To determine which transboundary issue/s remain/s to further address, the following criteria will be used:
  - Urgency (based on scientific reports in the last 5 years) - 25 %
  - Identified priorities by governments – 25 %
  - Level of investment is low over the last 15 years – 25 %
  - “Tipping- point” aspect (a small additional investment is needed to make it achieve its outcome) – 25 %

## Agenda for the October Stocktaking Meeting

- The report should inform the discussion on how to work together in the EAS in a more programmatic and strategic manner to create synergies and avoid overlaps between programmes and projects.
- Updating of the stocktaking on a regular basis should be designated to the mechanism that will coordinate the programmatic/strategic approach in the EAS.